

# Toward a consistent description of EAS with EPOS LHC-R

**Tanguy Pierog**

Karlsruhe Institute of Technology, Institute for  
Astroparticle Physics, Karlsruhe, Germany

With K.Werner, SUBATECH, Nantes, France



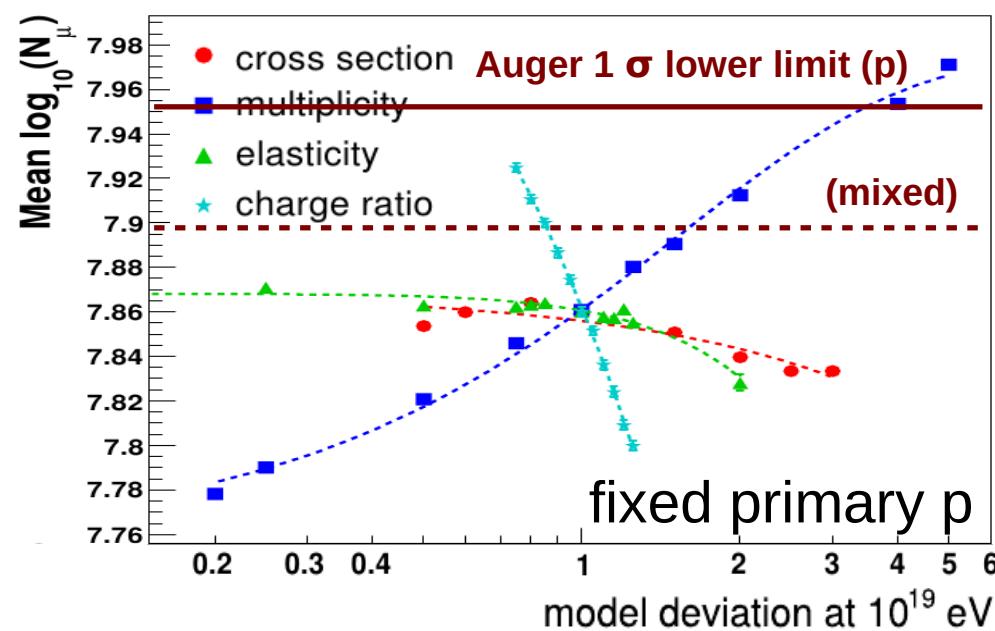
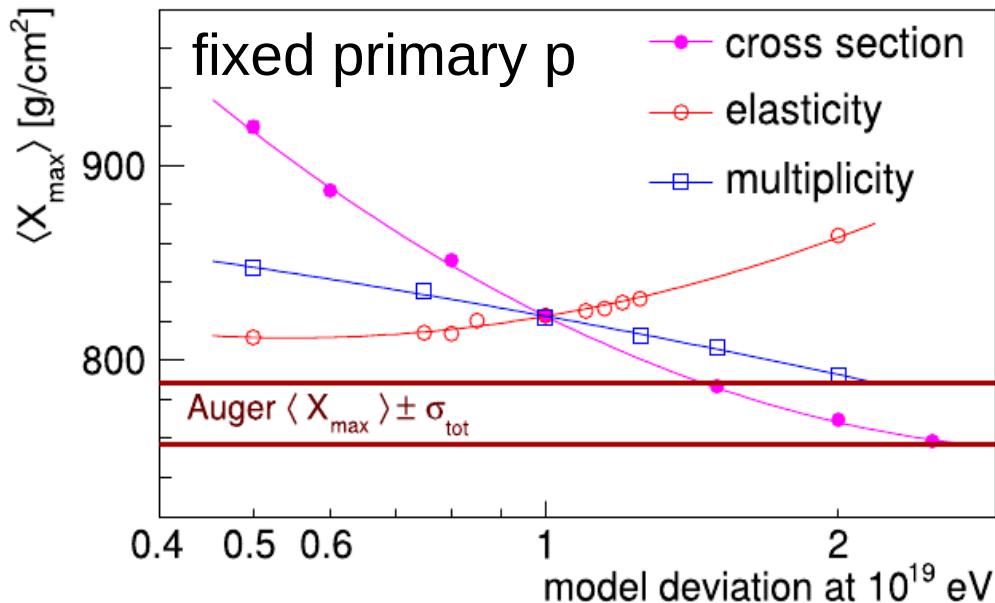
**7<sup>th</sup> UHECR, Malargüe, Argentina**  
November the 20<sup>th</sup> 2024

# Outline

- **Introduction**
- **Updates → EPOS LHC-R**
  - A real global approach to do hadronic interactions
- **Predictions for air showers (EAS)**
  - $X_{\max}$  and  $\mu$
- **Muon puzzle**
  - Real impact of collective effects on muon production

Recent **LHC** data provide new constraints on models changing  $X_{\max}$  and fine details on **hadronization** could be more important than thought until now, impacting the muon production.

# Sensitivity to Hadronic Interactions



- Air shower development dominated by few parameters
  - mass and energy of primary CR
  - cross-sections (p-Air and  $\pi$ -K-Air)
  - (in)elasticity
  - multiplicity
  - charge ratio and baryon production
- Change of primary = change of hadronic interaction parameters
  - cross-section, elasticity, mult. ...

**Theory AND data are important to constrain the hadronic model parameters. None of the two should be over-interpreted !**

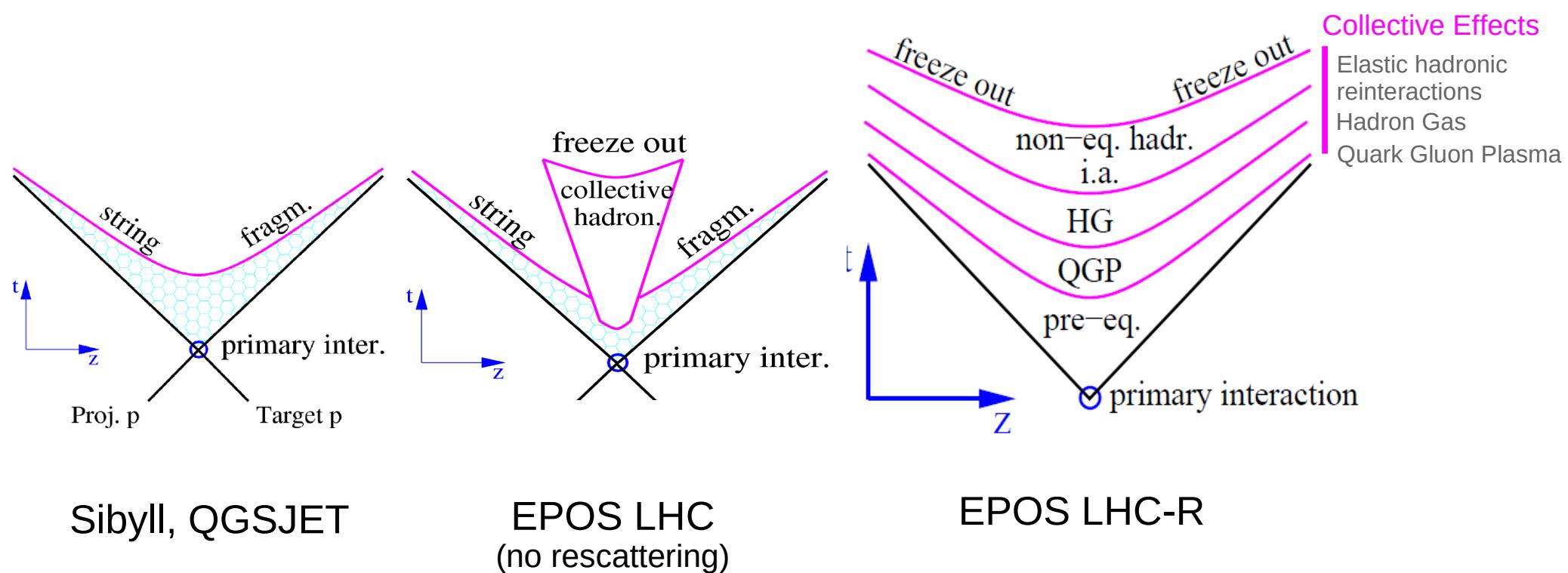
# Model Improvements

- First LHC data lead to reduced differences between models
- But a number of new data since model release could be used to further improve the models :
  - Update of the p-p cross sections (ALFA)
  - Data at 13 TeV (CMS, ATLAS, LHCf)
  - More detailed p-Pb measurements (fluctuations) CMS
  - Particle yields as a function of multiplicity (ALICE, LHCb)
    - Very important to understand the mechanism behind particle production
- Update of EPOS LHC → EPOS LHC-R
  - New EPOS 4 available for heavy ion physics but not usable for air showers (yet)
  - Modify EPOS LHC to take into account new data and new knowledge accumulated with (and code from) EPOS 4
  - Almost final result (but still preliminary) including all collective effects !

# What means global approach ?

**Global approach is the key !**

- Tuning models neglecting some physics process lead to wrong parameters !
- Correct tune possible to do only if everything taken into account
- Even without a direct impact on the shower development (rare particle or not forward), it will change model parameters and the extrapolation (in energy or phase space)

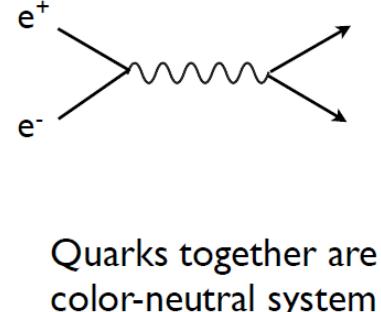
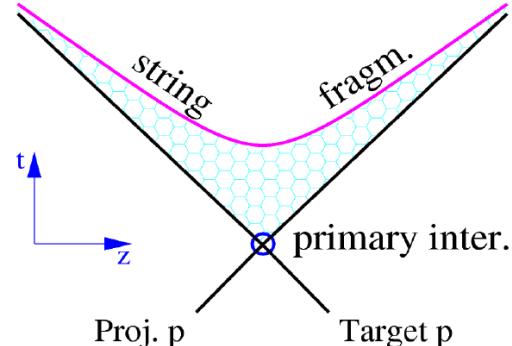


# String Fragmentation

## Global approach is the key !

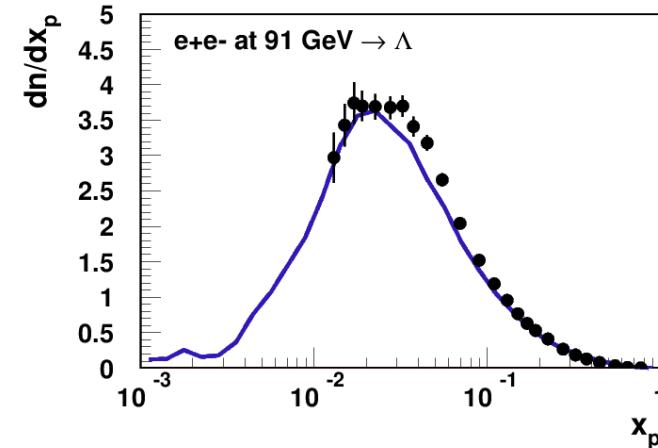
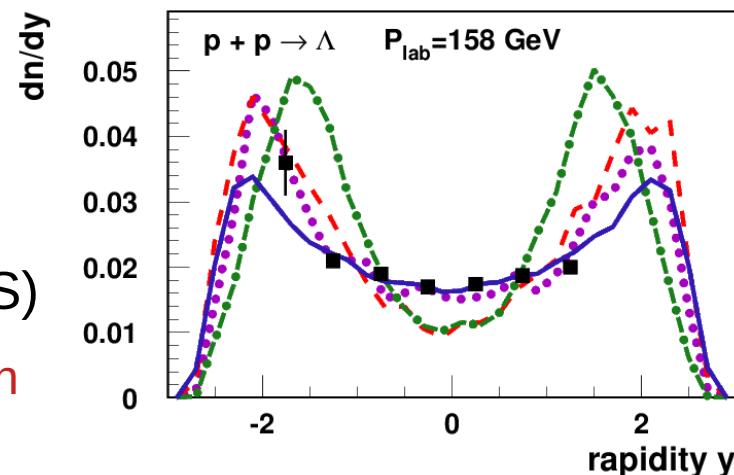
- Common hadronization in all the models
- Parameters fixed on e+e- only in EPOS
  - Other CR models tuned on p-p data
    - ➡ “Contamination” by beam remnant
- Very important for forward particle production (EAS)
  - ➡ Used for beam remnant hadronization

Annihilation at high energy



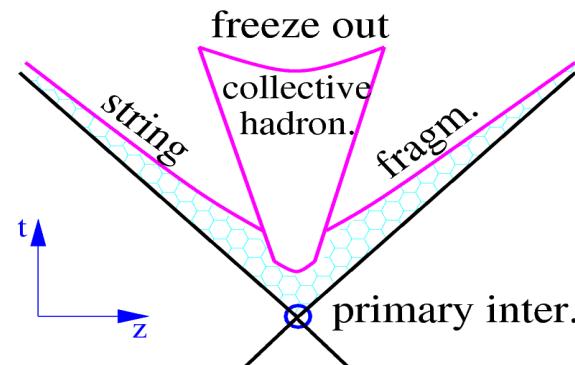
Used In dilute systems = CORONA

→ time

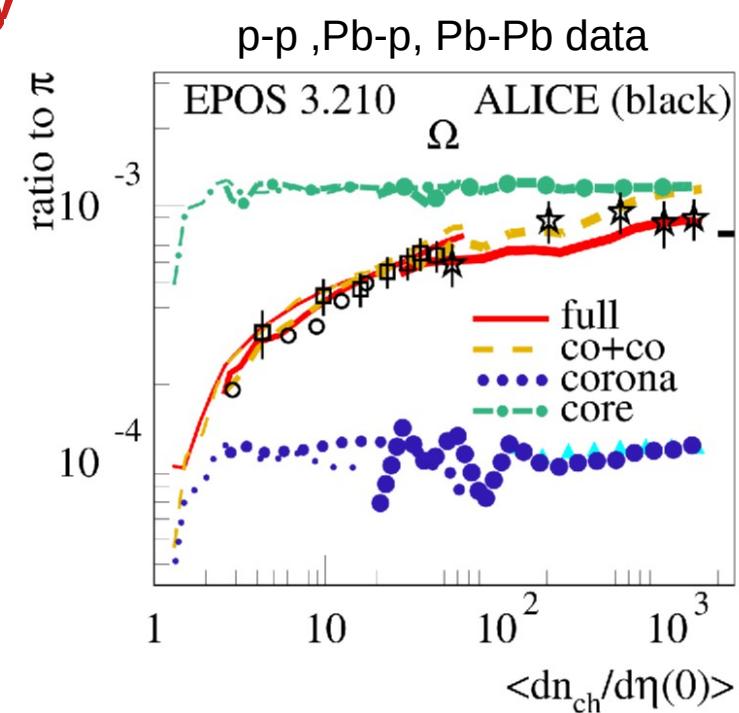
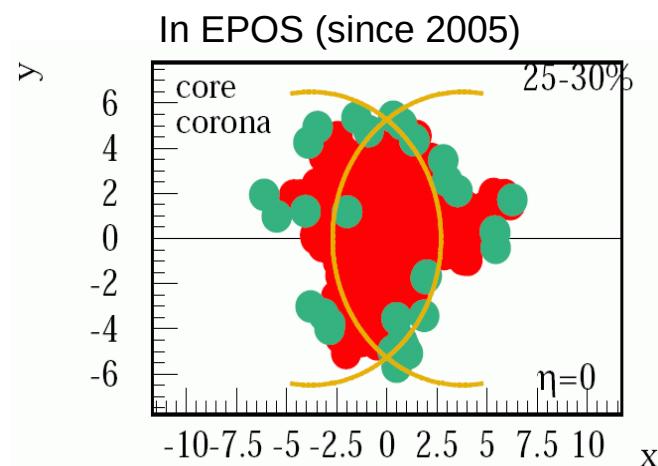


# Core-Corona

- **Core hadronization** = thermal hadronization of Quark Gluon Plasma
- Mixing of core and corona hadronization needed to achieve detailed description of p-p data (ref K.Werner)
  - Evolution of particle ratios from pp to PbPb
  - Particle correlations (ridge, Bose Einstein correlations)
  - Pt evolution, ...
- Both hadronizations are universal but the fraction of each change with particle density



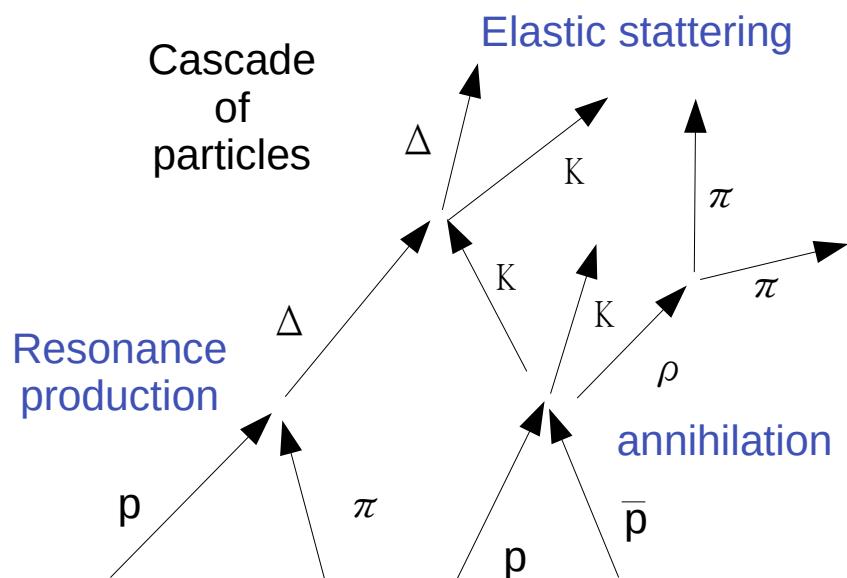
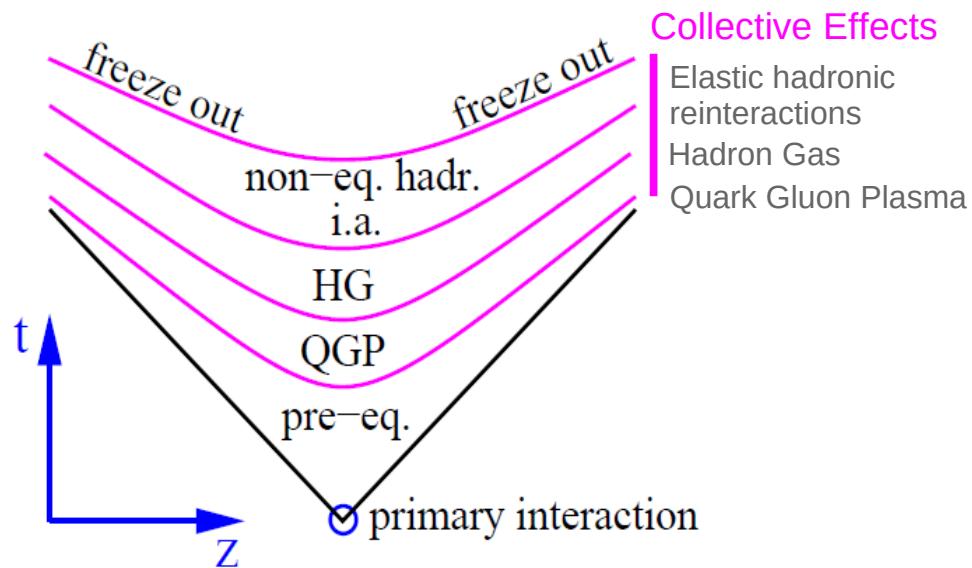
- 2 simultaneous source of particles



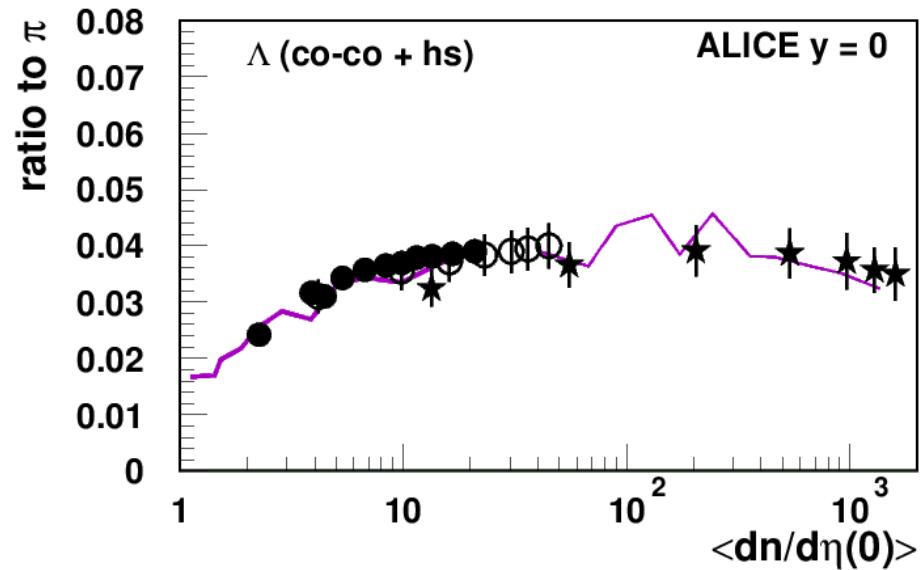
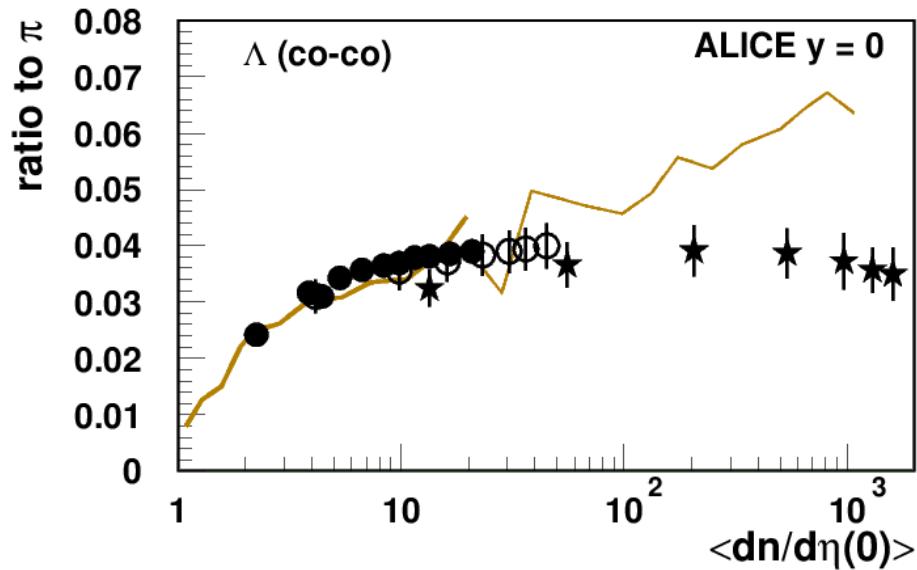
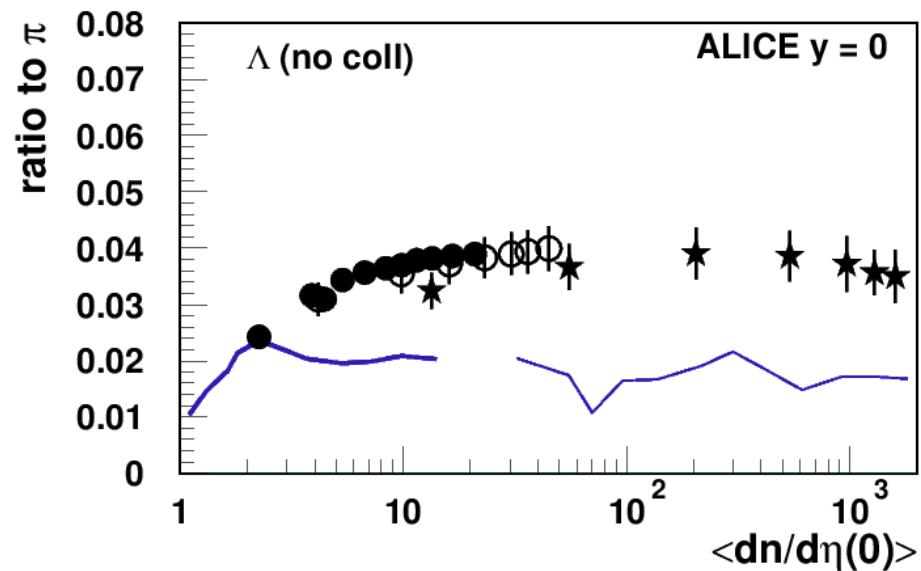
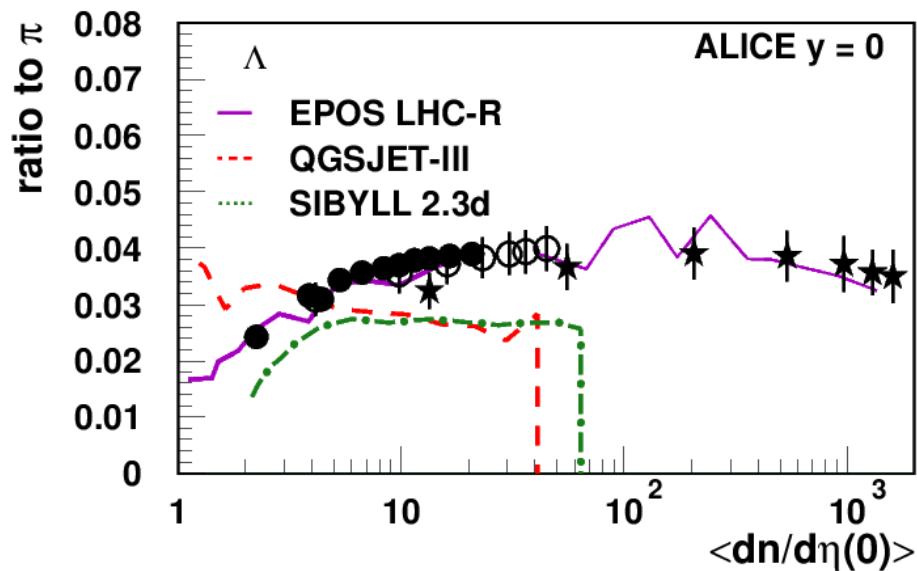
# Hadronic rescattering

**Missing effect in all CR models until now !**

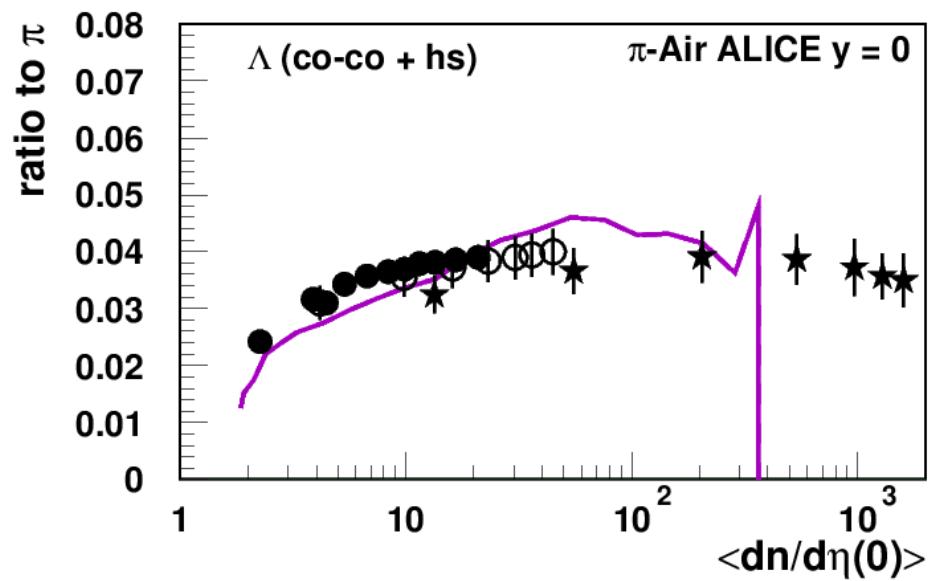
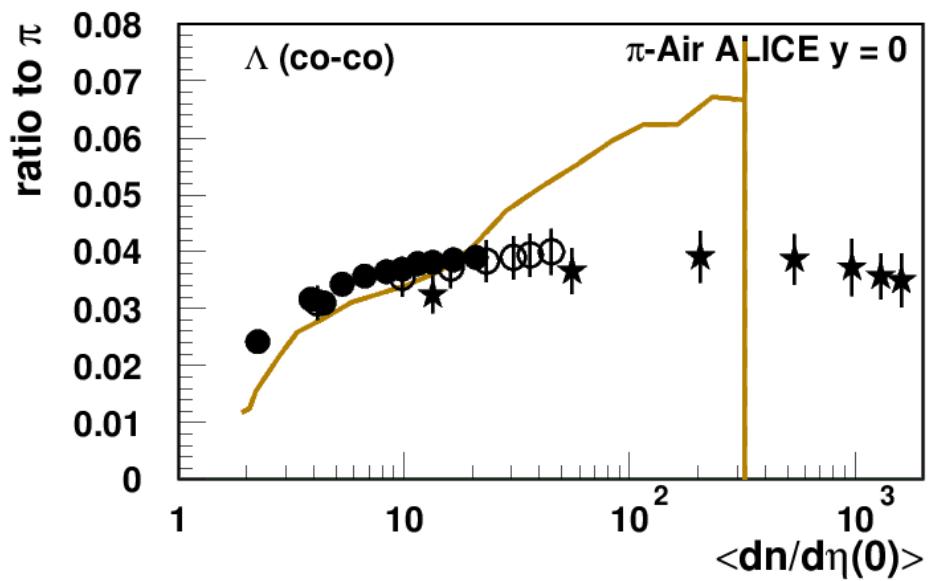
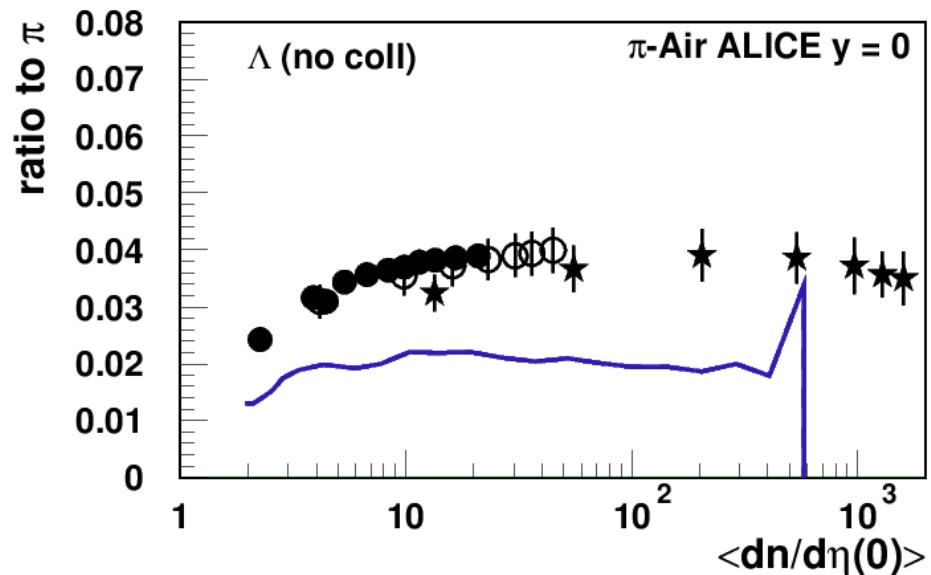
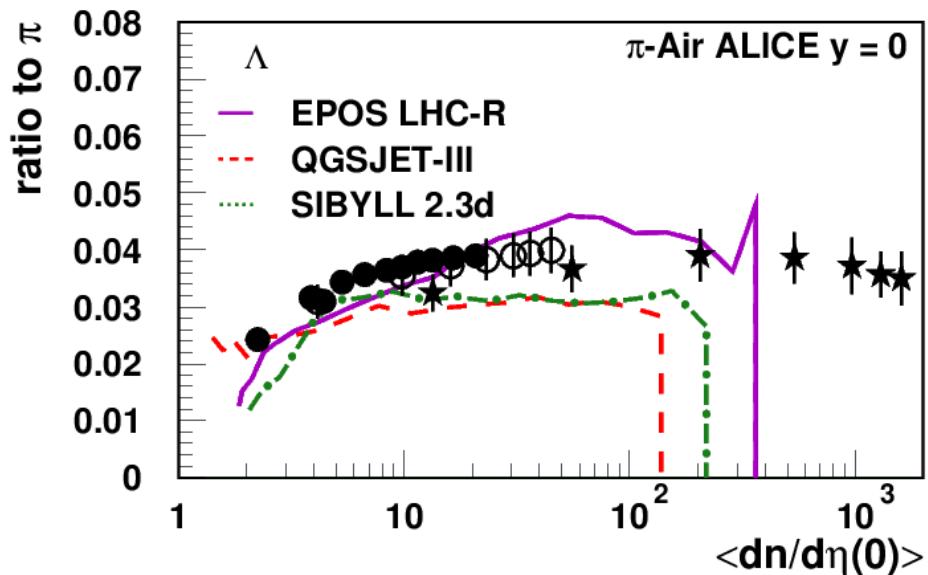
- Re-interaction of hadrons after parton hadronization (space-time evolution)
- “traditionally” used only for heavy ion collisions (until recently NOT in p-p)
- No direct impact on EAS development since forward particles escape
- But significant to large impact at midrapidity even in light system !
  - ➡ Change string fragmentation parameters !



# Example with Lambda particle in p-p and Pb-Pb @ LHC



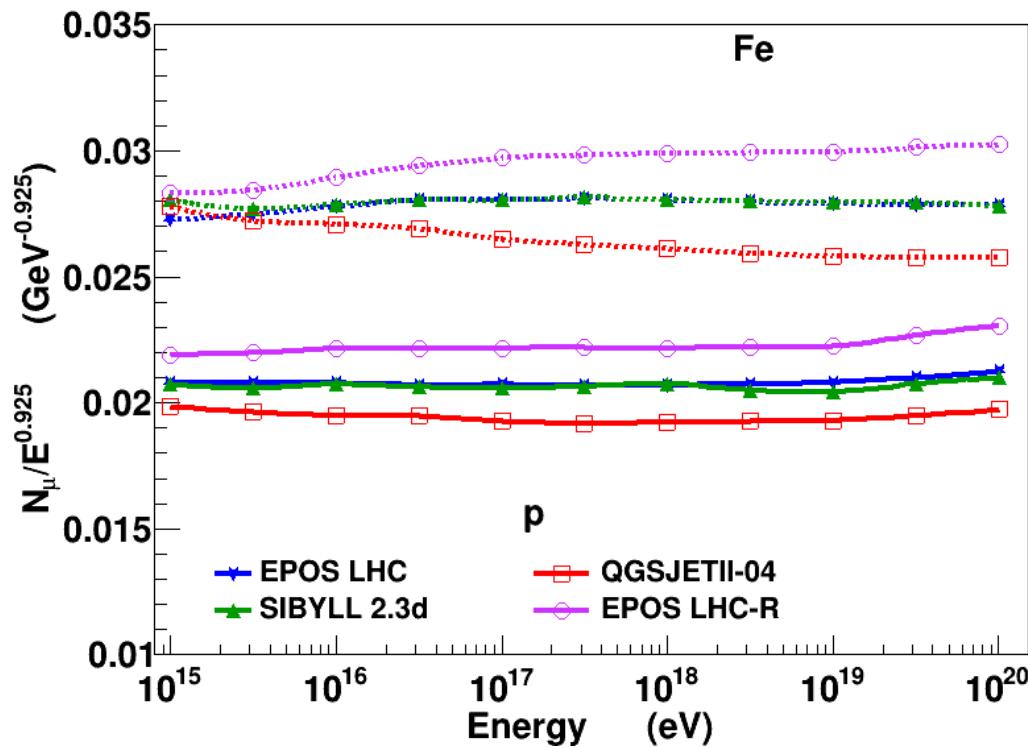
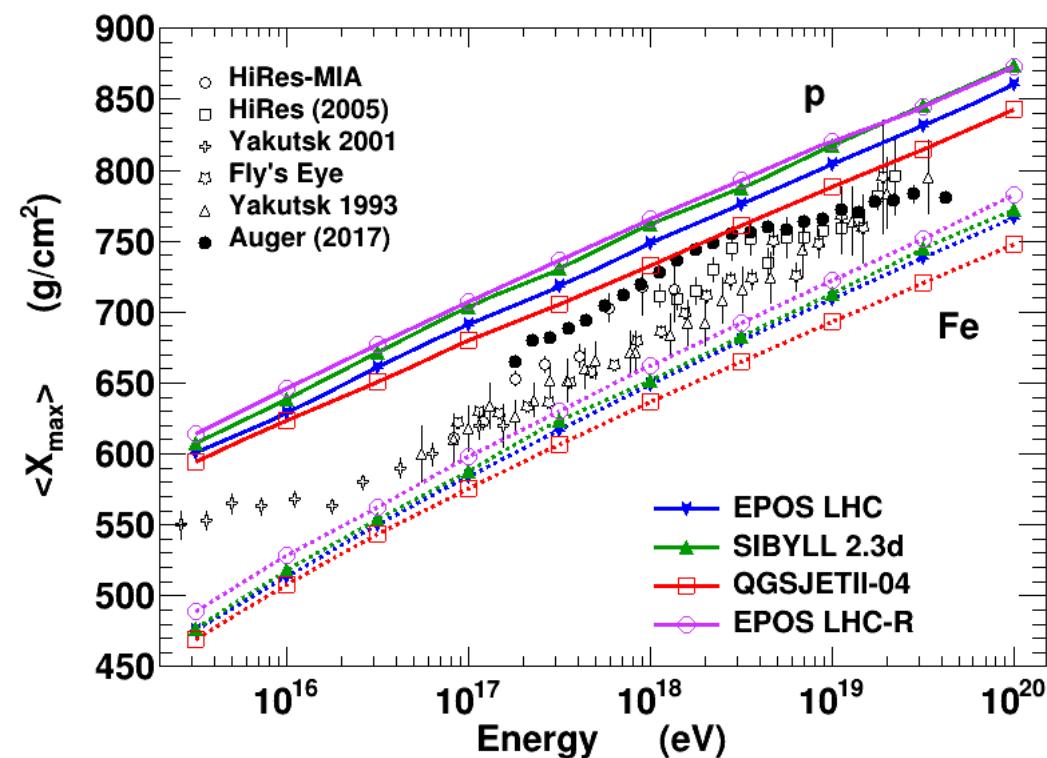
# Example with Lambda particle in $\pi$ -Air @ all energies



# $X_{\max}$ and $N_{\mu}$

## Global changes

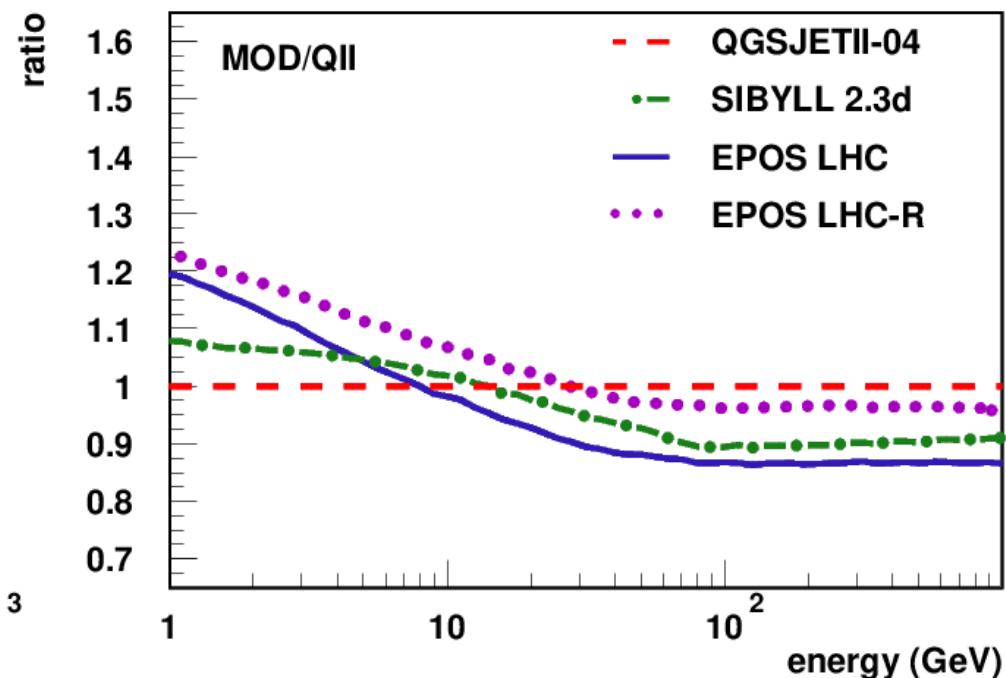
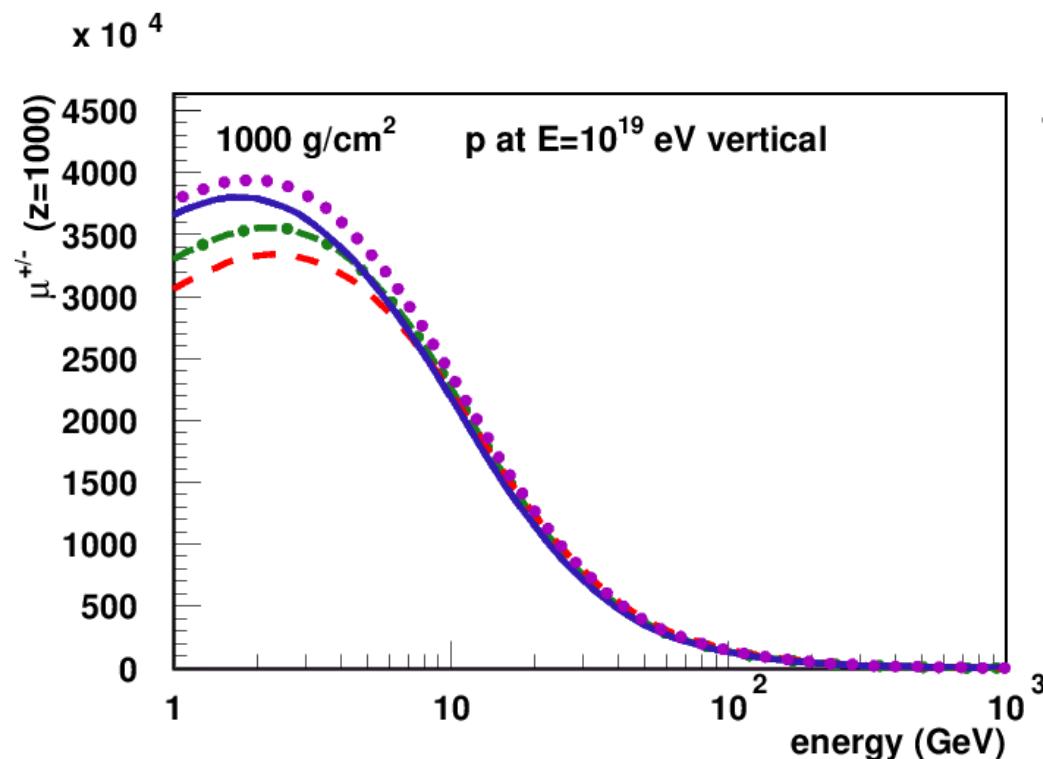
- Motivated by PAO, now EPOS shifted by +15 g/cm<sup>2</sup> (~Sibyll)
  - ➡ in full agreement with accelerator data
- Increase of the number of muons by about 10%
- LDF not tested yet but different muon energy spectrum



$$E_\mu$$

## First simulations with up-to-date core-corona implementation:

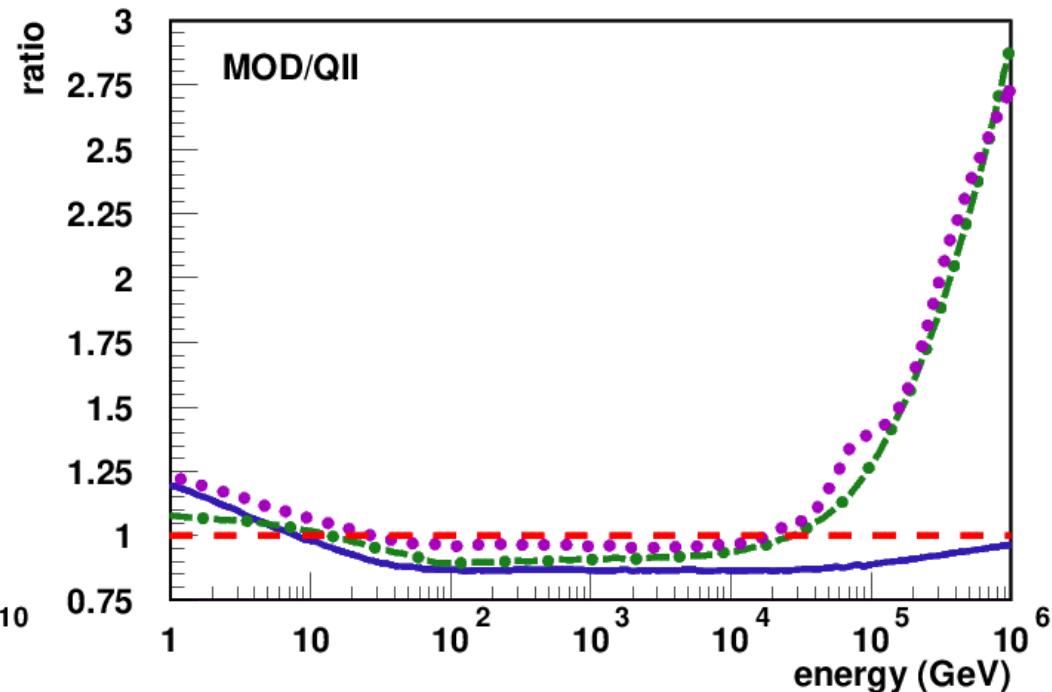
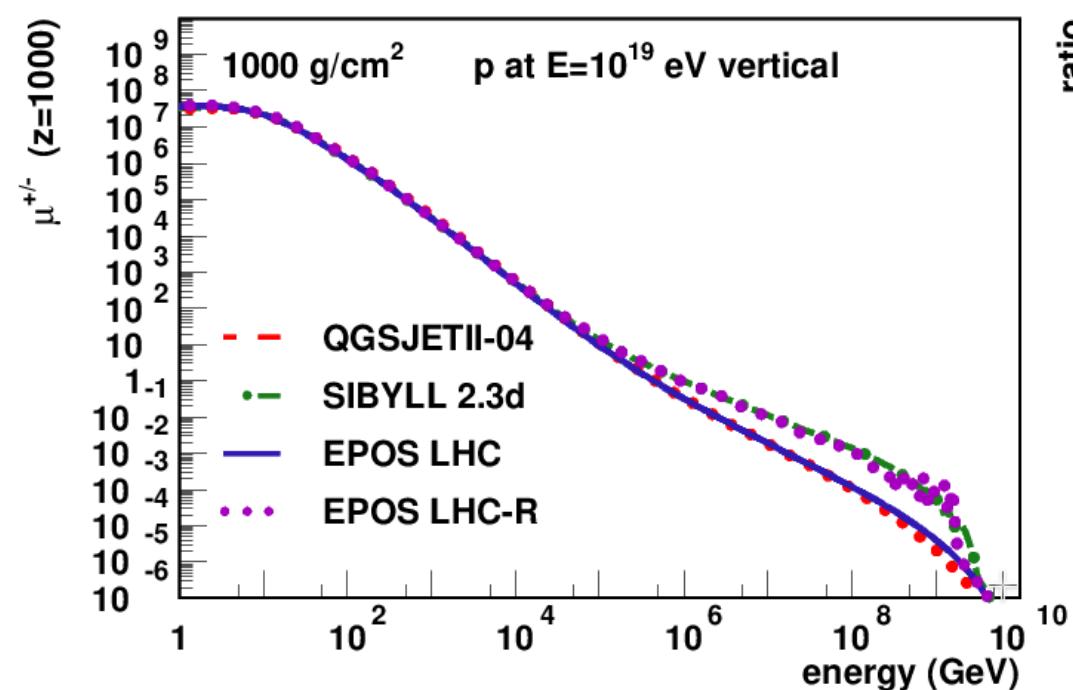
- Simulations without core-corona but  $\rho$  asymmetry already have more muons
  - ➡ Parallel shift changing all muon energies
- Additional energy and mass dependent effect due to core-corona !
- Better tune of kaons
  - ➡ Increase ~10-100 GeV muons (Ice-Top/Ice-Cube)



$E_\mu$ 

## First simulations with up-to-date core-corona implementation:

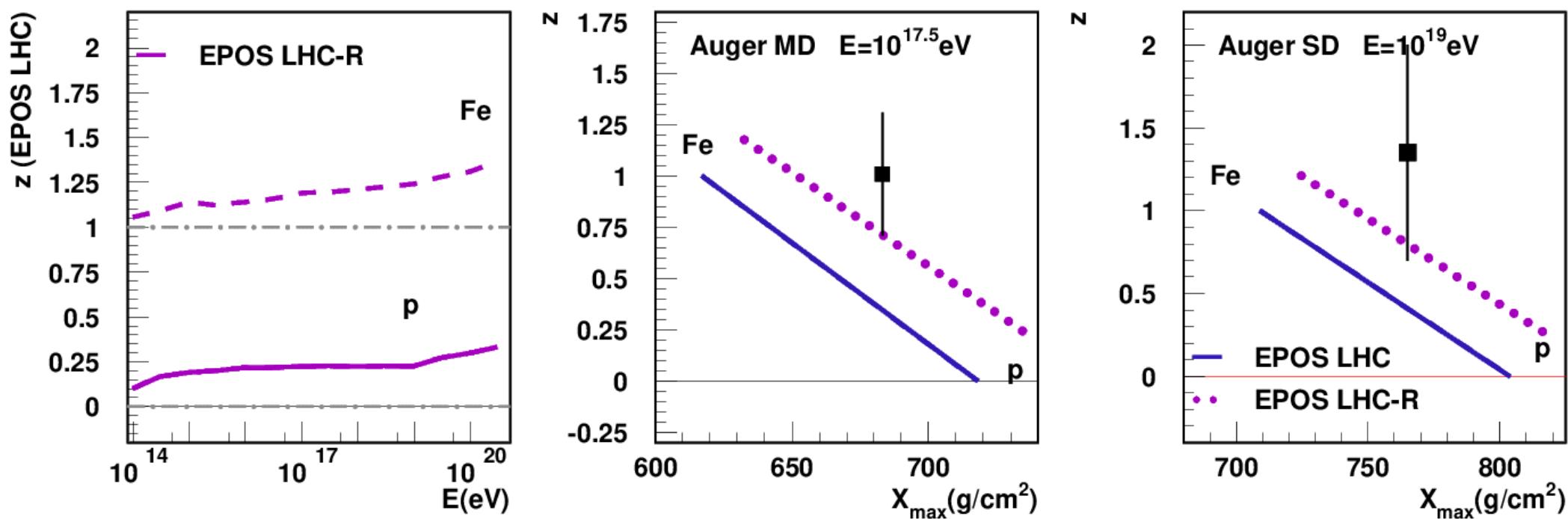
- Simulations without core-corona but  $\rho$  asymmetry already have more muons
  - Parallel shift changing all muon energies
- Additional energy and mass dependent effect due to core-corona !
- Better tune of kaons
- High energy muons from charm ! (background for neutrino analysis)



# Muon Puzzle Solved ?

**EPOS LHC-R, first model producing a deeper  $X_{\max}$  and more muons and being compatible with measured accelerator data (better at LHC) :**

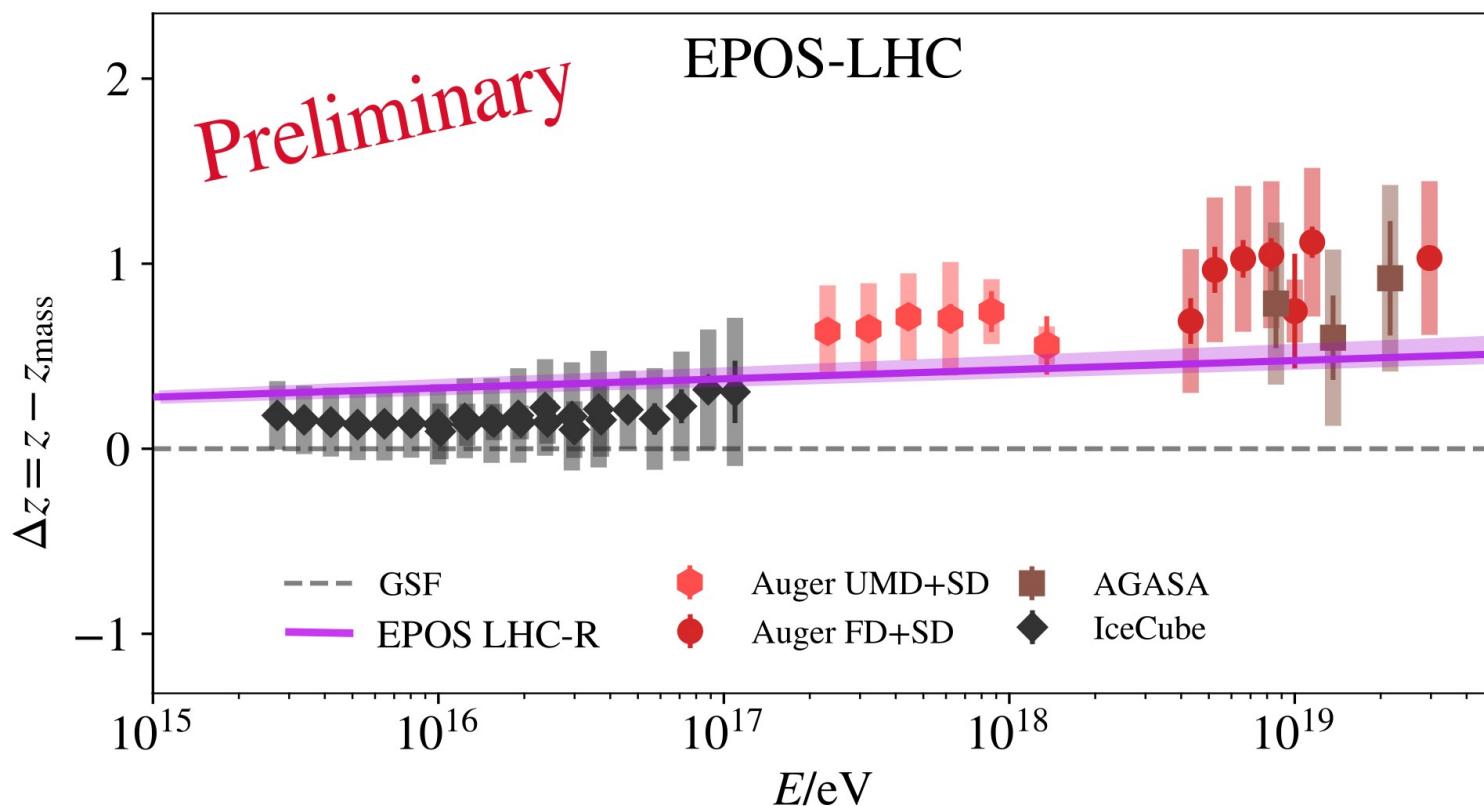
- Deeper  $X_{\max}$  give larger  $\langle \ln A \rangle$  reducing the gap with measured muon content
- Energy and mass dependent increase of muons due to collective effects further decrease the gap to reach Auger systematics
- What about low energy ? Correlation Ne-N  $\mu$  OK because of deeper  $X_{\max}$  !



# Muon Puzzle Solved ?

**EPOS LHC-R, first model producing a deeper  $X_{\max}$  and more muons and being compatible with measured accelerator data (better at LHC) :**

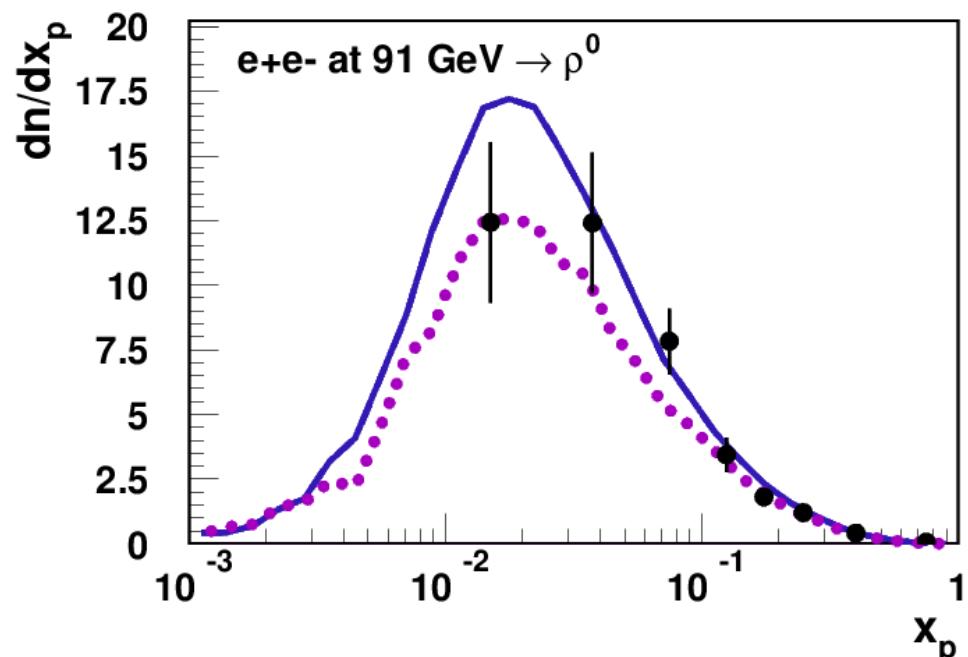
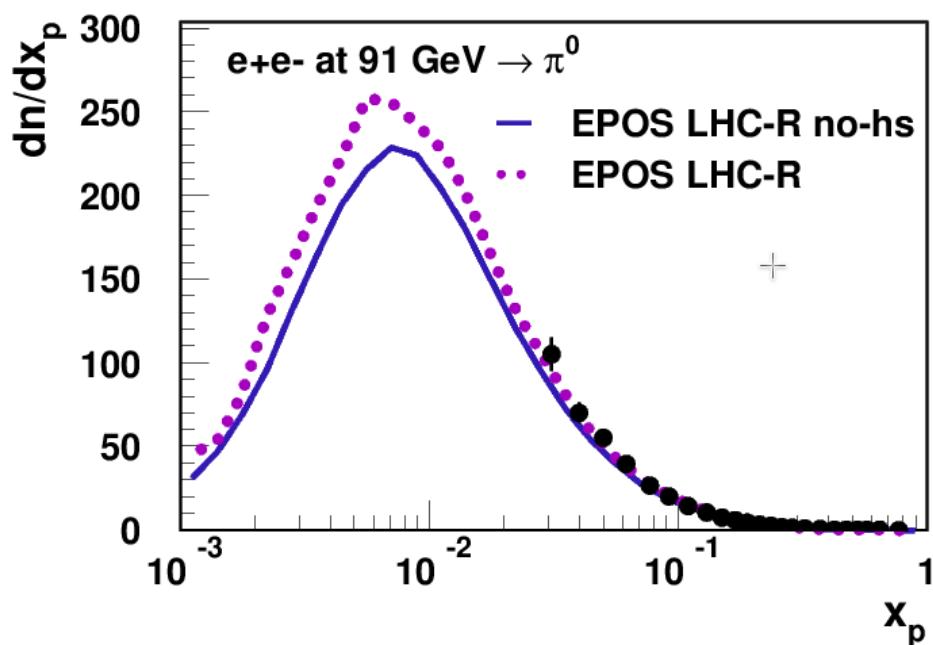
- Deeper  $X_{\max}$  give larger  $\langle \ln A \rangle$  reducing the gap with measured muon content
- Energy and mass dependent increase of muons due to collective effects further decrease the gap to reach Auger systematics



# Why ?

**Hadronic rescattering is important to tune properly the models !**

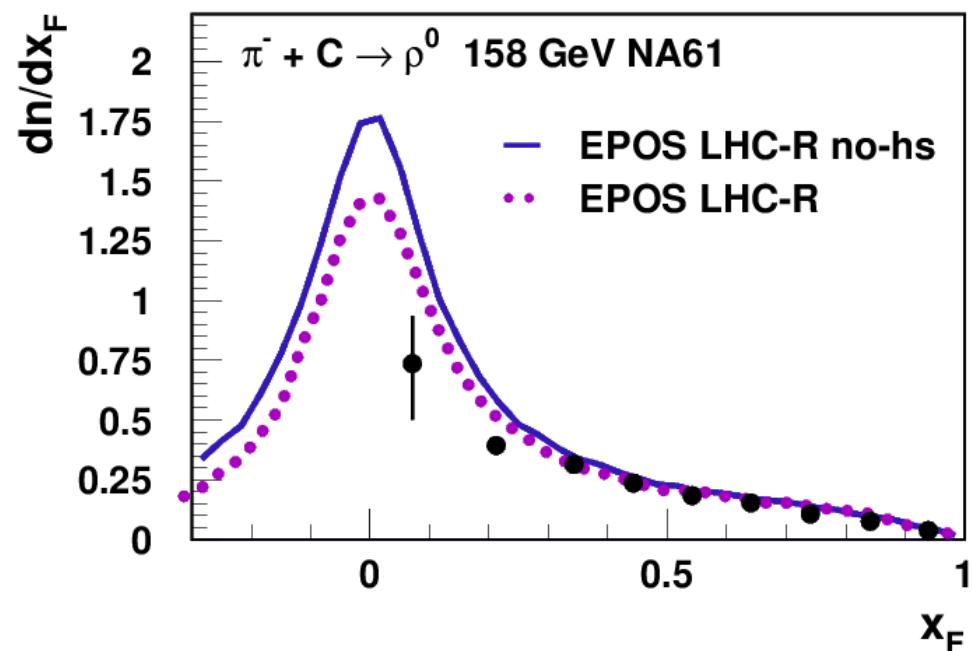
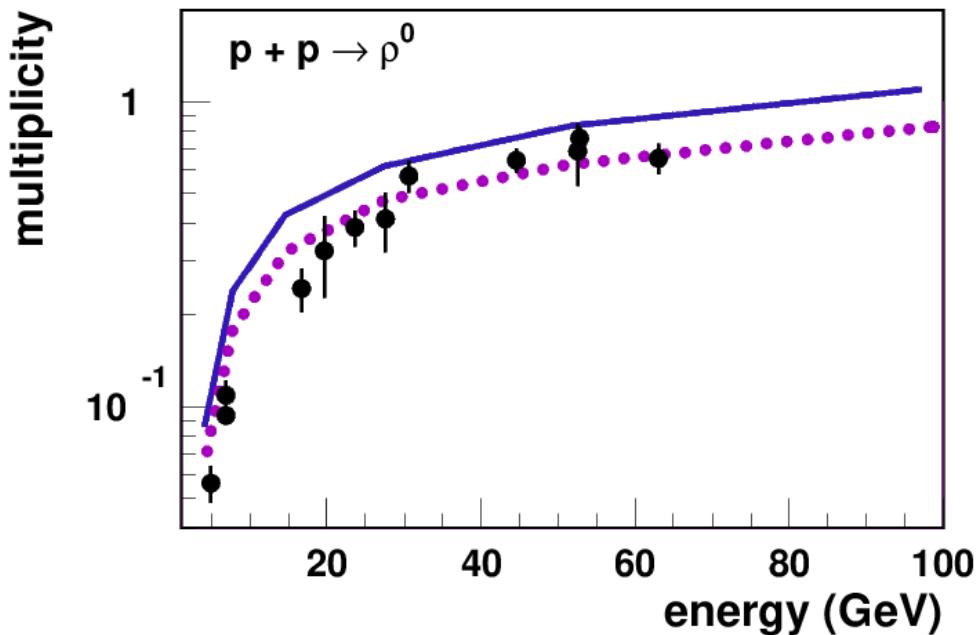
- Change ratio between  $\pi$  and  $\rho$  in string fragmentation depending on phase-space
  - ➡ Forward particle production not the same than at mid-rapidity
- If effect is not taken into account
  - Either overestimate production compared to data (“bad tune”)
    - ➡ Sibyll\*
  - Or underestimate forward production of  $\rho$  to get it right with mid-rapidity data
    - ➡ All models until now !



# Why ?

**Hadronic rescattering is important to tune properly the models !**

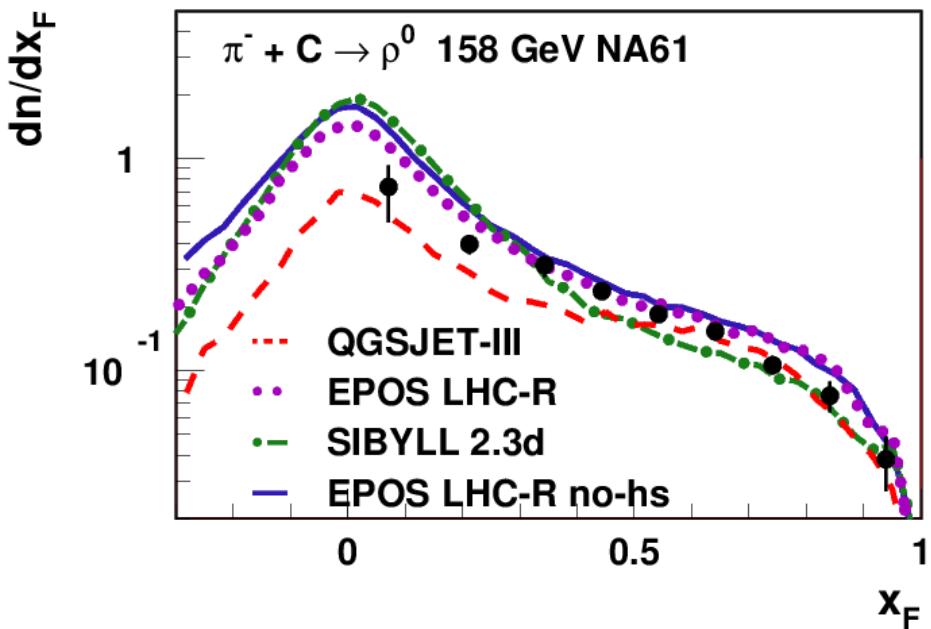
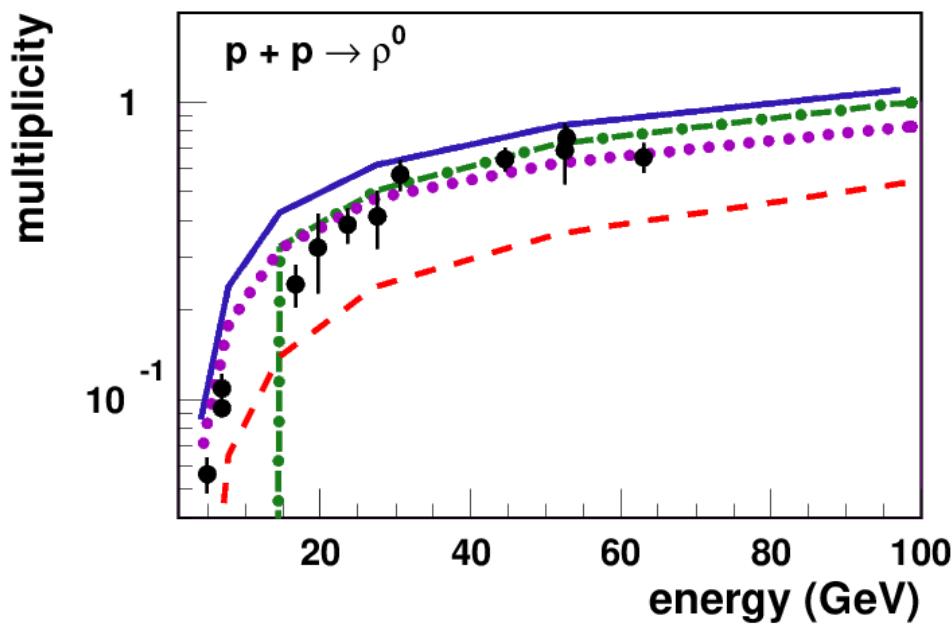
- Change ratio between  $\pi$  and  $\rho$  in string fragmentation depending on phase-space
  - ➡ Forward particle production not the same than at mid-rapidity
- If effect is not taken into account
  - Either overestimate production compared to data (“bad tune”)
    - ➡ Sibyll\*
  - Or underestimate forward production of  $\rho^0$  to get it right with mid-rapidity data
    - ➡ All models until now !



# Why ?

**Hadronic rescattering is important to tune properly the models !**

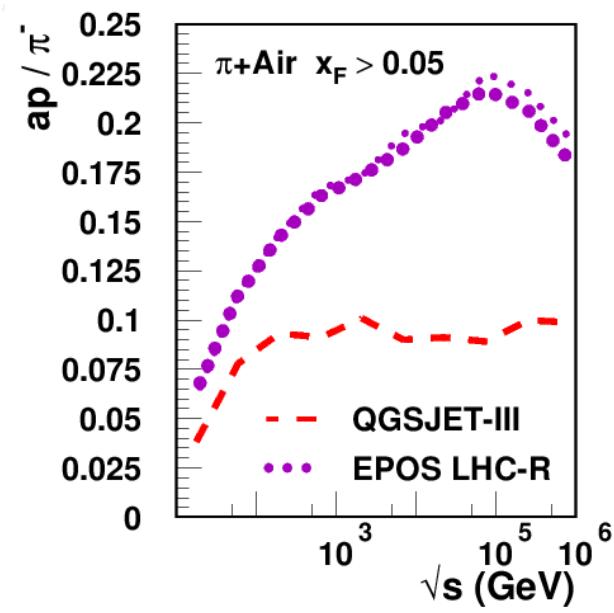
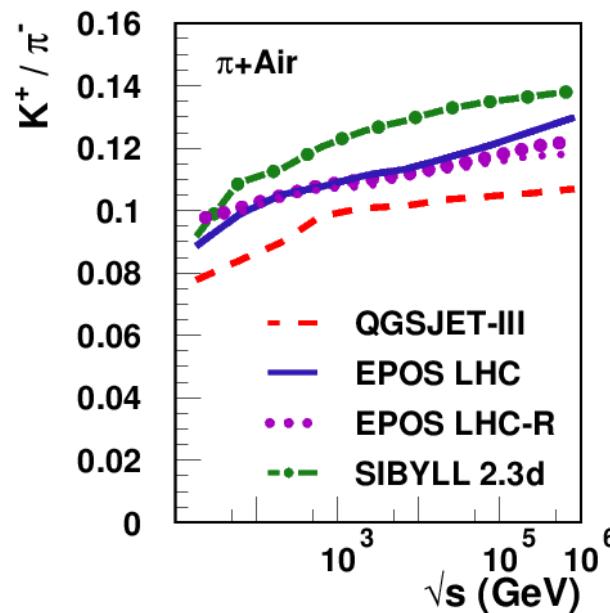
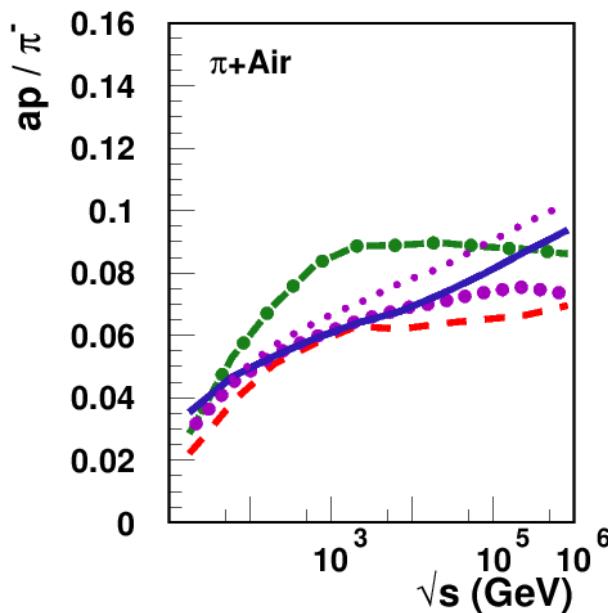
- Change ratio between  $\pi$  and  $\rho$  in string fragmentation depending on phase-space
  - ➡ Forward particle production not the same than at mid-rapidity
- If effect is not taken into account
  - Either overestimate production compared to data (“bad tune”)
    - ➡ Sibyll\*
  - Or underestimate forward production of to get it right with mid-rapidity data
    - ➡ All models until now !



# Why ?

**Hadronic rescattering is important to tune properly the models !**

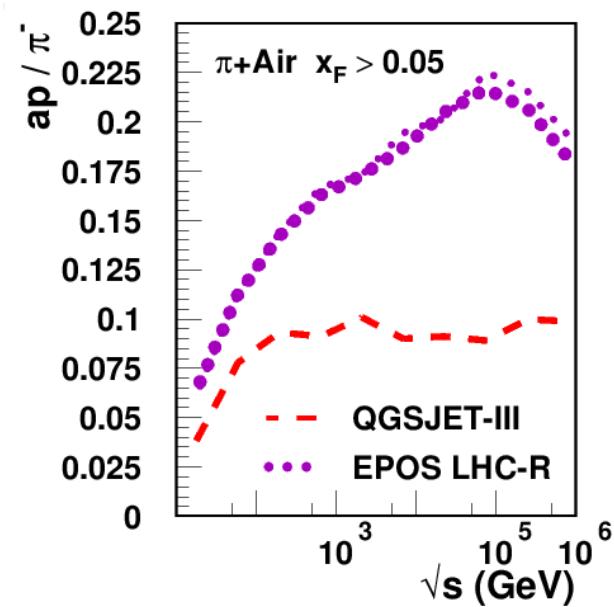
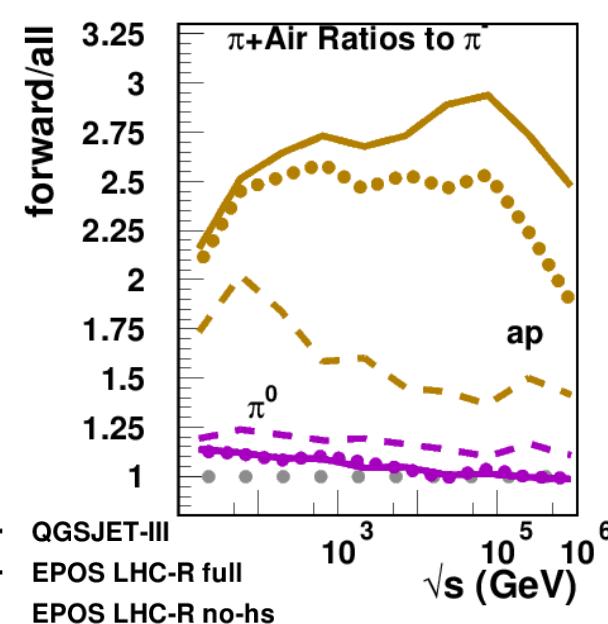
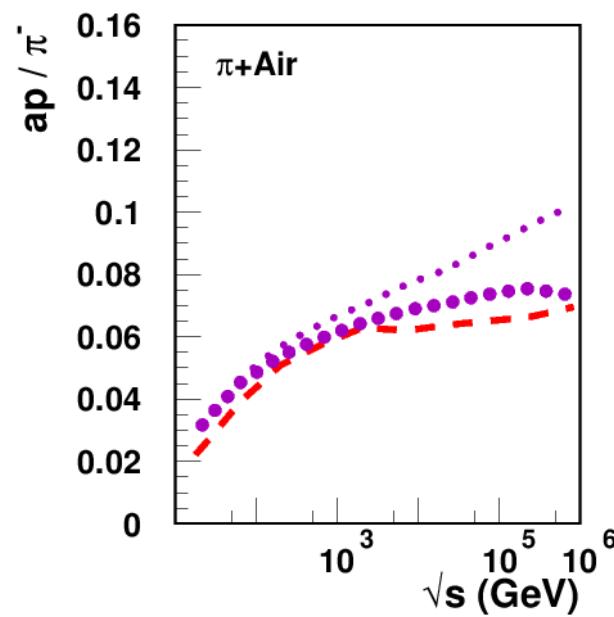
- Change ratio between  $\pi$  and  $\rho$  in string fragmentation depending on phase-space
  - ➡ Forward particle production not the same than at mid-rapidity
- If effect is not taken into account
  - Either overestimate production compared to data (“bad tune”)
    - ➡ Sibyll\*
  - Or underestimate forward production of to get it right with mid-rapidity data
    - ➡ All models until now !



# Why ?

**Hadronic rescattering is important to tune properly the models !**

- Change ratio between  $\pi$  and  $\rho$  in string fragmentation depending on phase-space
  - ➡ Forward particle production not the same than at mid-rapidity
- If effect is not taken into account
  - Either overestimate production compared to data (“bad tune”)
    - ➡ Sibyll\*
  - Or underestimate forward production of  $\pi^+$  to get it right with mid-rapidity data
    - ➡ All models until now !



# Outlook

- Updated results of cross-sections and diffraction
  - Significant impact on  $X_{\max}$
  - Larger  $\langle \ln A \rangle$  (heavier primary mass → reduce “muon puzzle”)
- Details of hadronization matters
  - Important role of resonance with sparse data
    - $\rho^0$  impacted by hadronic rescattering, important to take it into account
  - Evolution of strangeness with multiplicity
    - Different type of hadronization in core = more muons
  - Combination of the 3 effects may solve the muon puzzle (to be confirmed) !
- Source of muon puzzle probably due to the fact that hadron rescattering was always neglected
  - Rescattering change the correlation between mid-rapidity (data and tuning) and forward particle production (EAS)

Updated EPOS LHC-R released in 2024 and then adapting EPOS 4 for CR

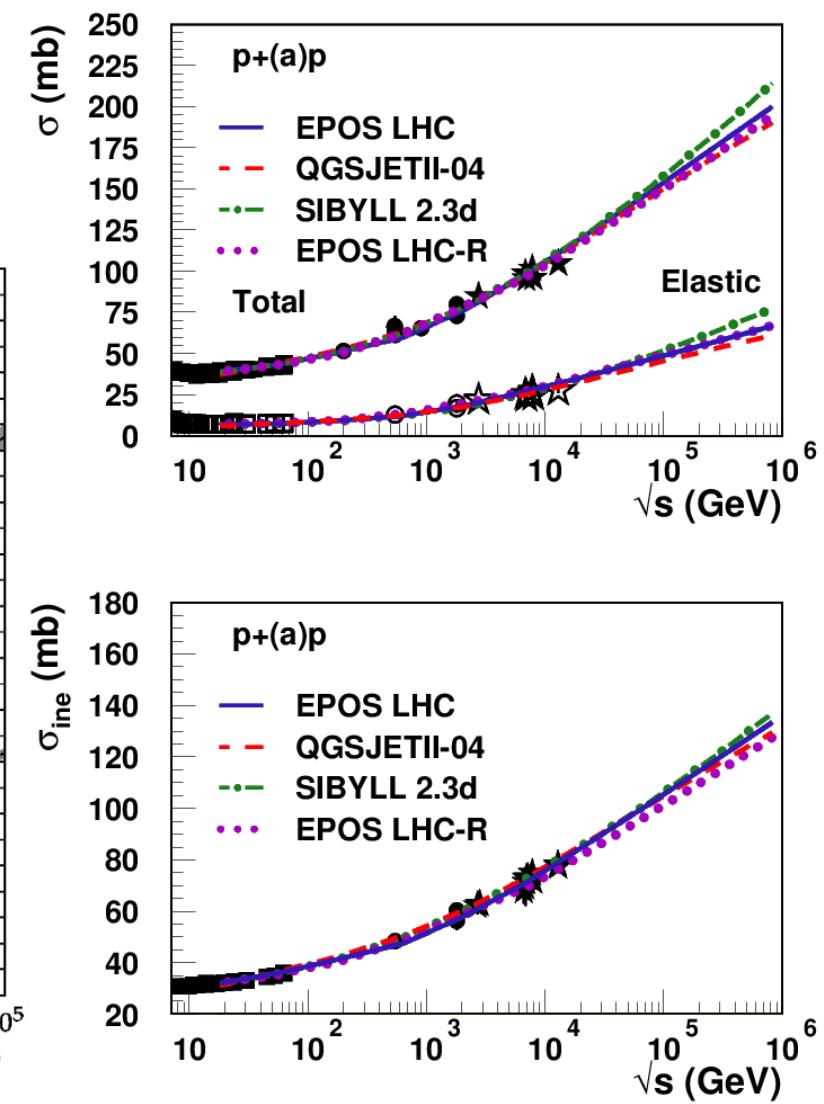
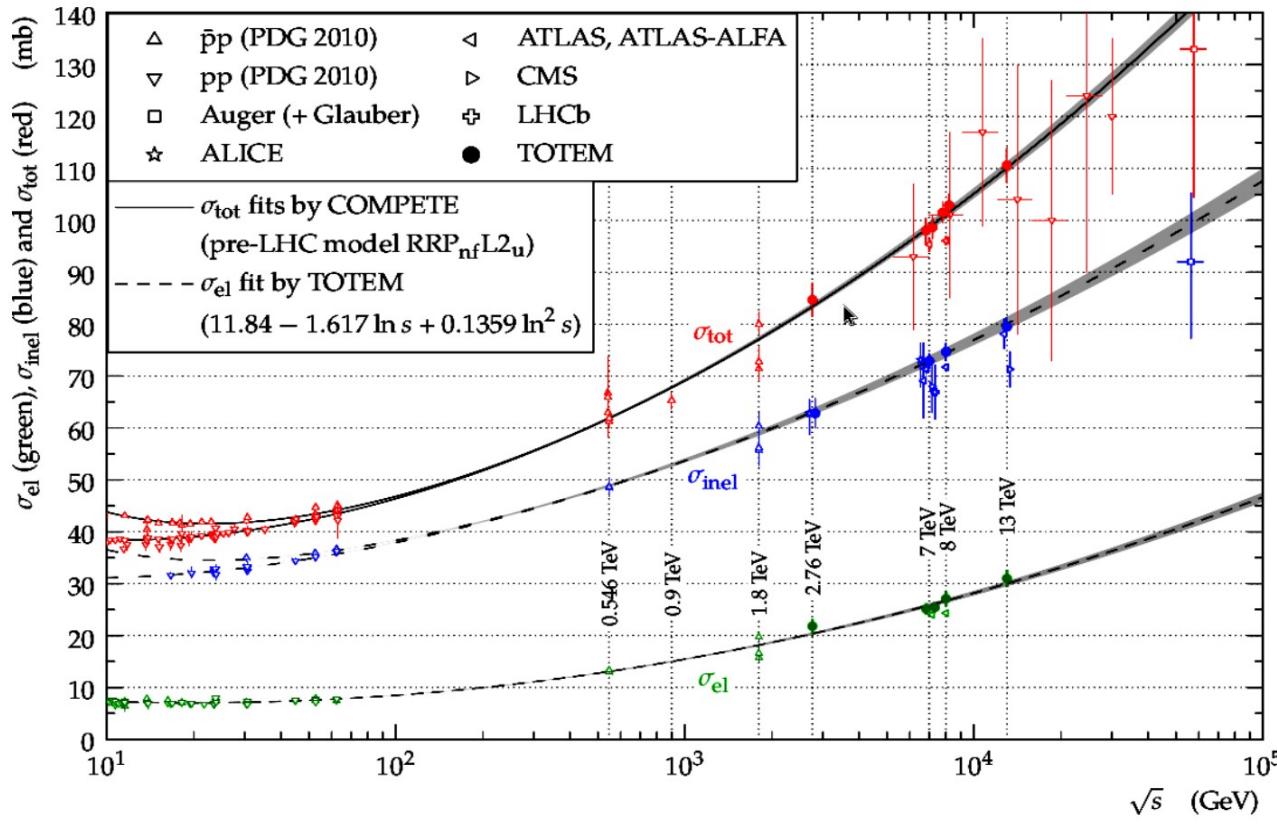
Recent **LHC** data provide new constraints on models changing  $X_{\max}$  and fine details on **hadronization** could be more important than thought until now, impacting the muon production

**Providing solutions to the “muon puzzle” !**

Thank you !

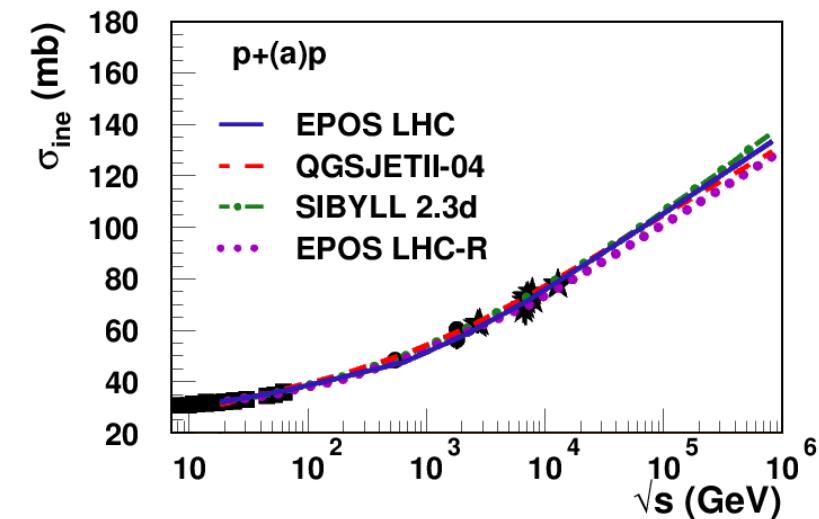
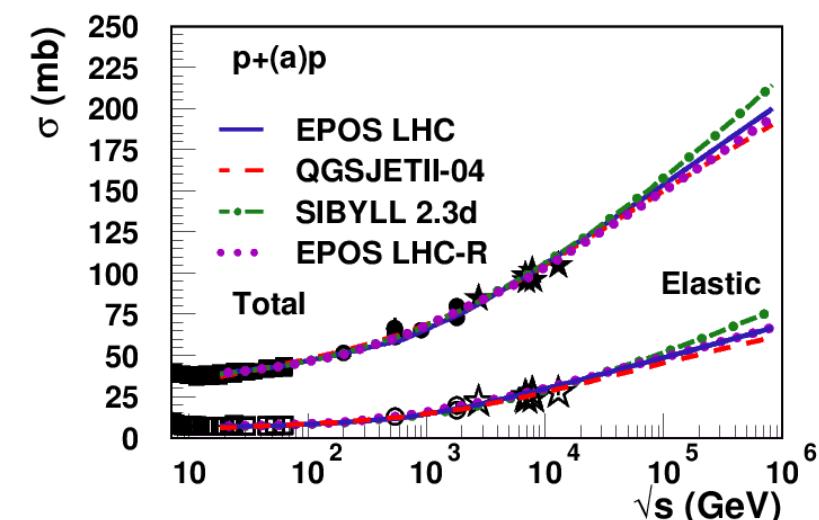
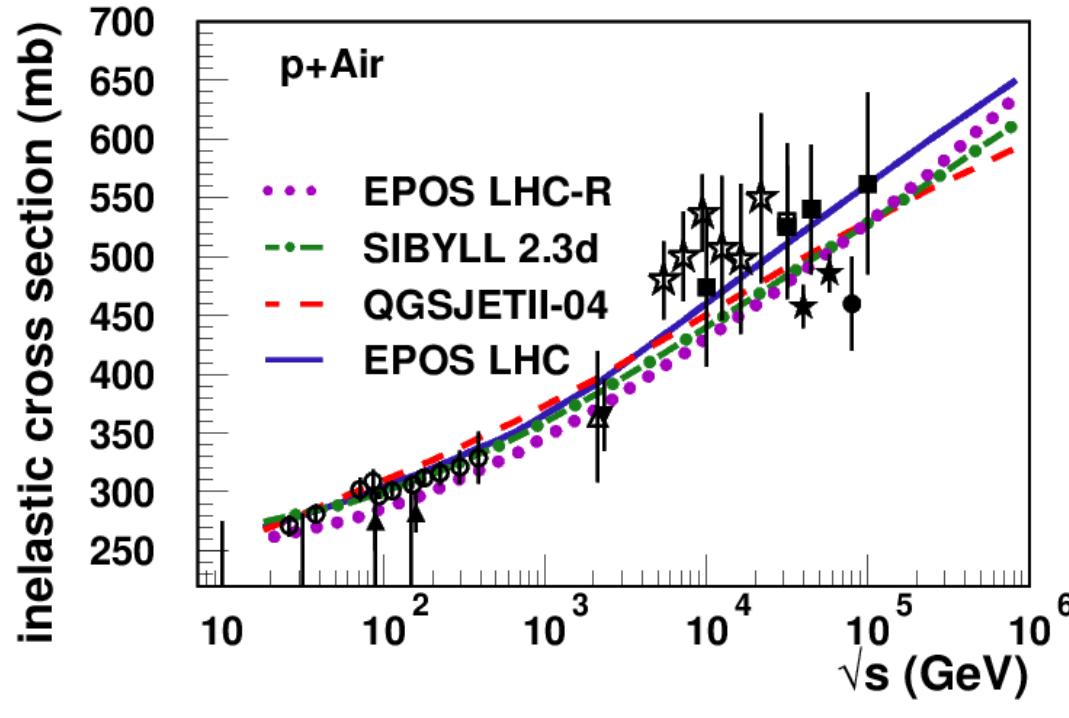
# Inelastic Cross-Section

- Probability for the particle to interact : directly related to  $X_{\max}$
- After TOTEM (CMS), new measurements by ALFA (ATLAS) with higher precision
  - p-p cross-section too high in all models



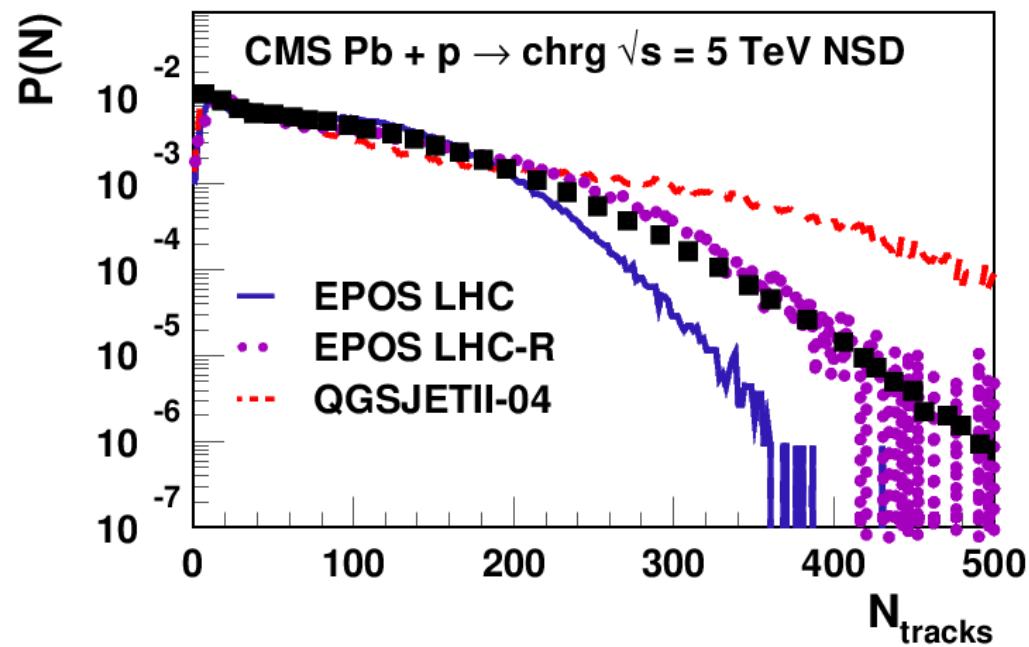
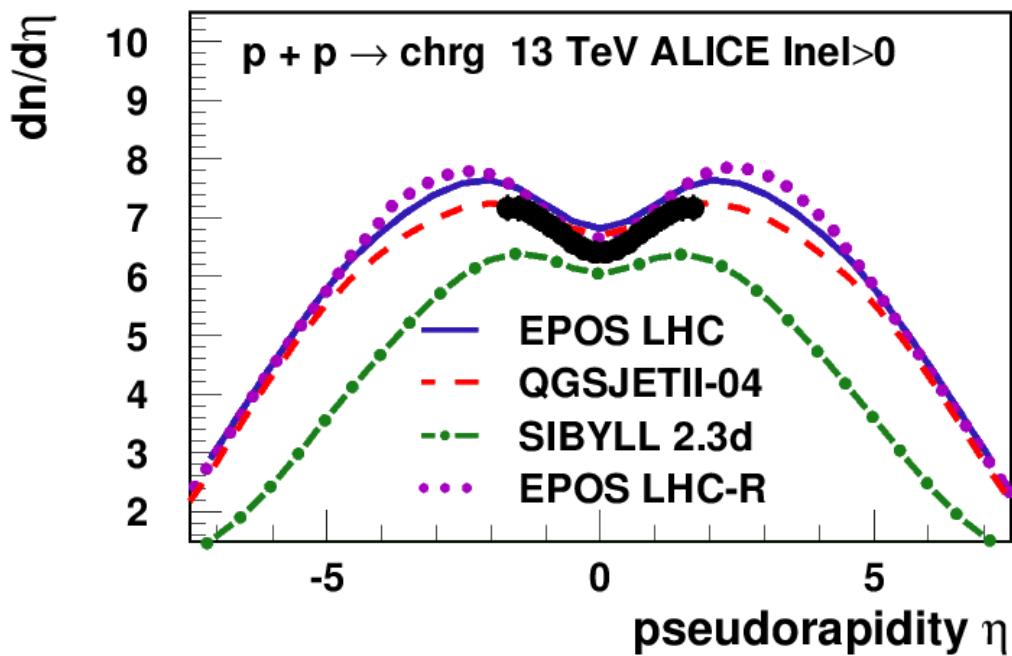
# Cross-Section Reduced

- Probability for the particle to interact : directly related to  $X_{\max}$
  - After TOTEM (CMS), new measurements by ALFA (ATLAS) with higher precision
    - p-p cross-section slightly too high in all models
    - Change by up to -10% at the highest energy
- using most recent CR based measurements



# Pseudorapidity

- Angular distribution of newly produced particles
- New data at 13 TeV in p-p
  - Test extrapolation with different triggers
  - Sibyll has a clear difference with other models (and data) : too narrow !
- Detailed data at 5 TeV for p-Pb
  - Wrong multiplicity distributions in all models (before retune)



# Improvements in EPOS LHC-R

- Number of limitations identified in EPOS LHC

- Problem with nuclear fragments

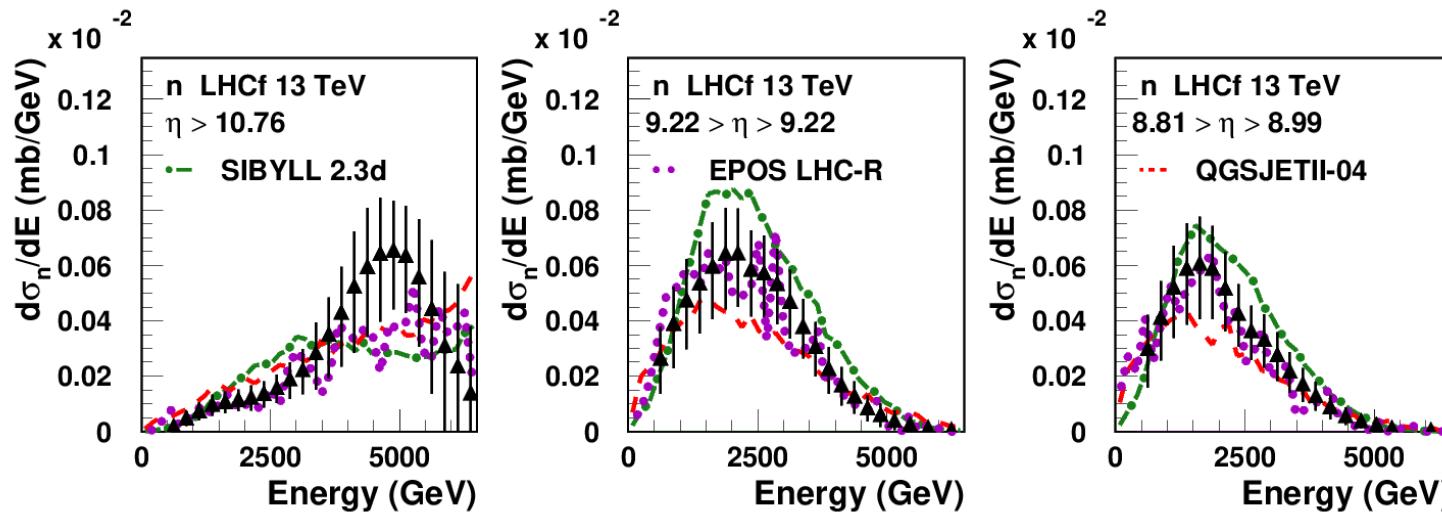
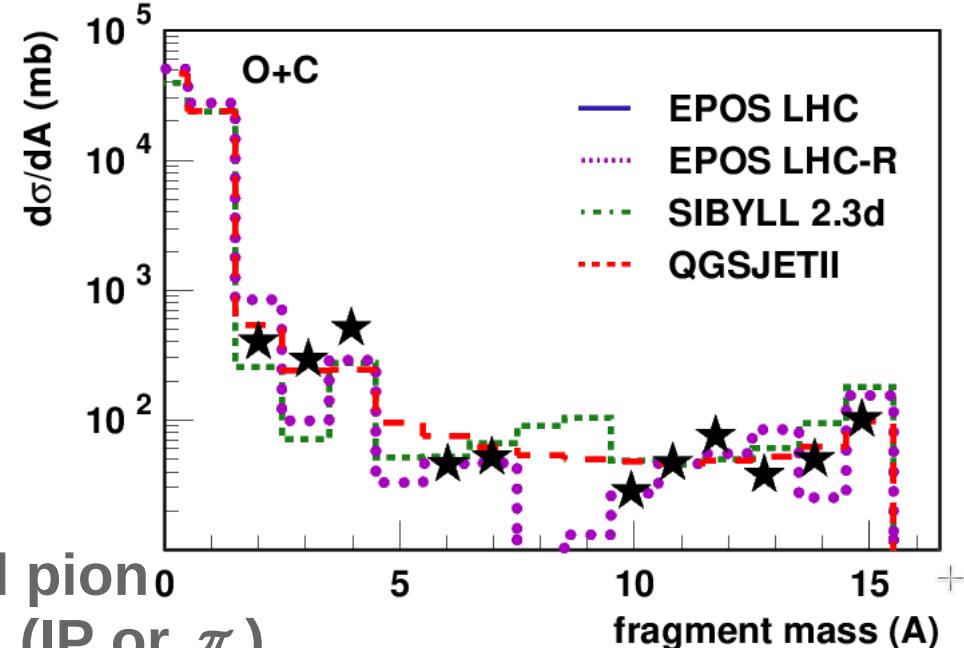
  - Double counting for single nucleons

  - Missing multifragment production

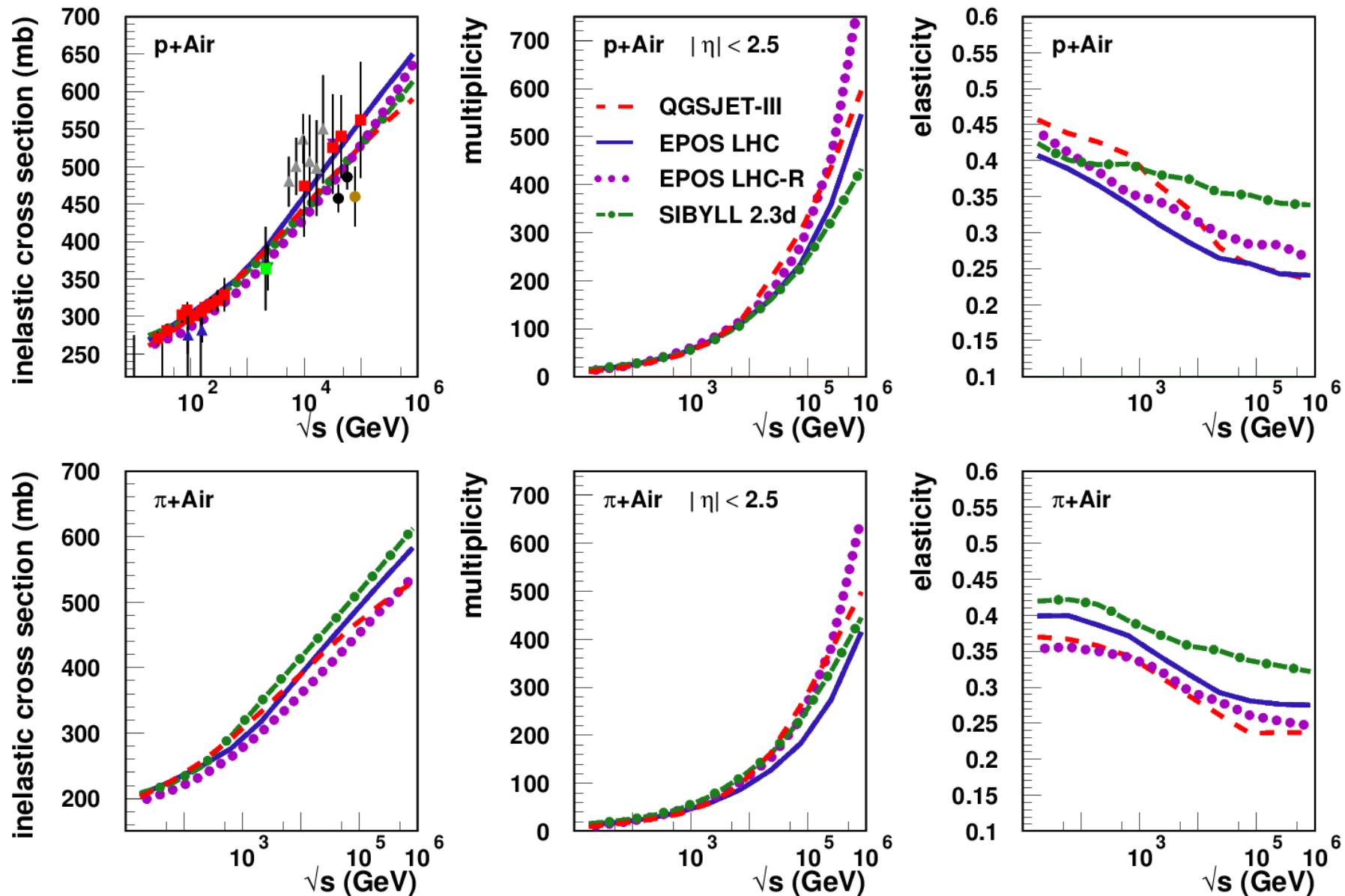
    - Now similar to other models

    - Significant impact on  $X_{\max}$   
fluctuations for nuclei

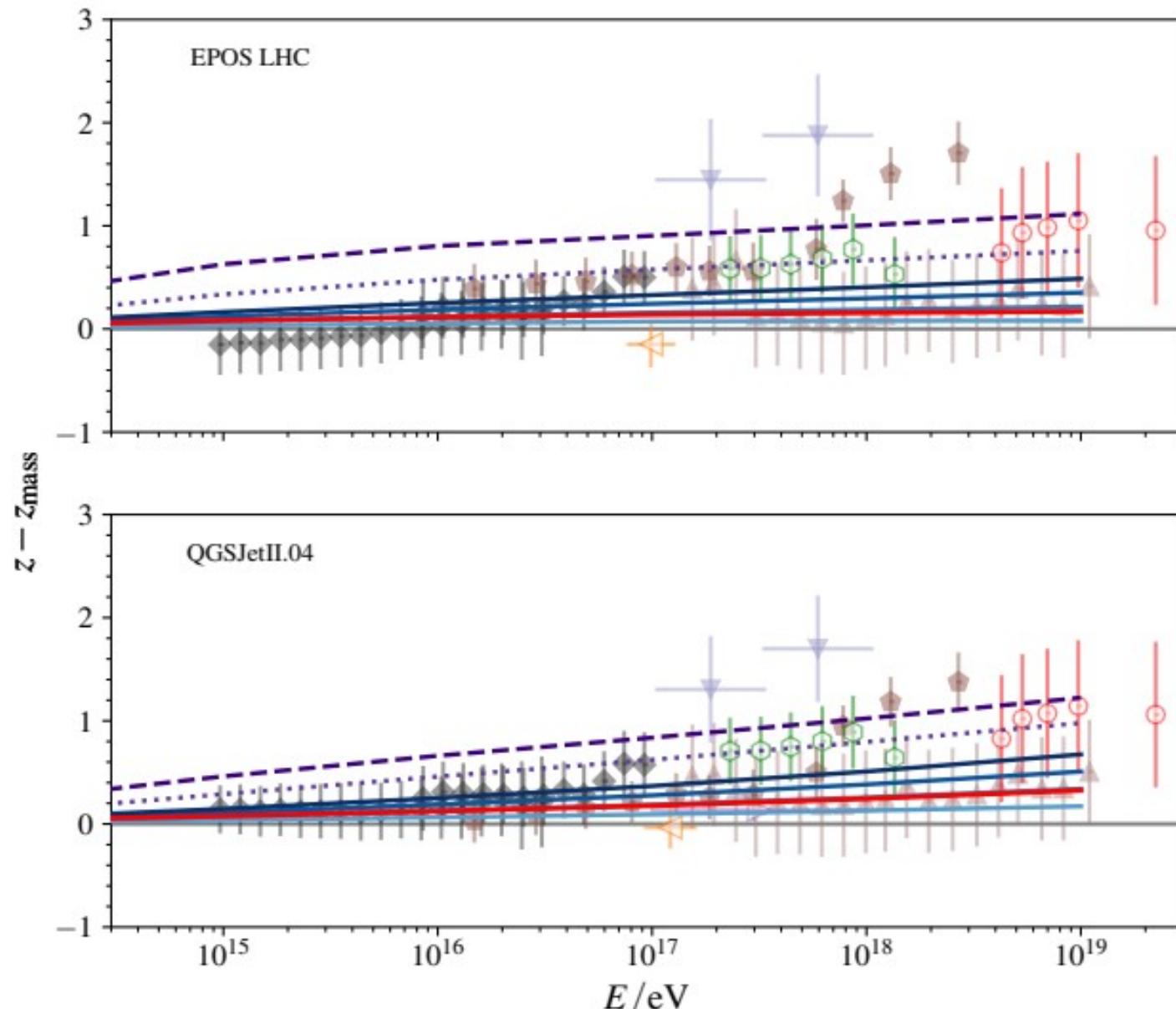
- Simplified high mass diffraction and pion exchange replaced by real emission (IP or  $\pi^+$ )



# EPOS LHC-R interaction with Air (preliminary)



# Results for z-scale



- Realistic Case
- - -  $f_\omega = 1.00, E_{\text{scale}} = 10^2 \text{ GeV}$
- - -  $f_\omega = 1.00, E_{\text{scale}} = 10^6 \text{ GeV}$
- - -  $f_\omega = 1.00, E_{\text{scale}} = 10^{10} \text{ GeV}$
- - -  $f_\omega = 0.75, E_{\text{scale}} = 10^{10} \text{ GeV}$
- - -  $f_\omega = 0.50, E_{\text{scale}} = 10^{10} \text{ GeV}$
- - -  $f_\omega = 0.25, E_{\text{scale}} = 10^{10} \text{ GeV}$
- - -  $f_\omega = 0$  (Default model)

$$z = \frac{\ln N_{\mu}^{\text{det}} - \ln N_{\mu,p}^{\text{det}}}{\ln N_{\mu,\text{Fe}}^{\text{det}} - \ln N_{\mu,p}^{\text{det}}}$$

- Pierre Auger MD+SD
- ◆ IceCube [Preliminary]
- NEVOD-DECOR
- Pierre Auger FD+SD
- △ SUGAR
- ▲ Yakutsk [Preliminary]
- ▽ EAS-MSU
- △ KASCADE-Grande

$$z_{\text{mass}} = \frac{\langle \ln A \rangle}{\ln 56}$$

# Hadronization in Simulations

- Historically (theoretical/practical reasons) string fragmentation used in high energy models (Pythia, Sibyll, QGSJET, ...) for proton-proton.
  - ➔ Light system are not “dense”
  - ➔ Works relatively well at SPS (low energy)
  - ➔ But **problems already at RHIC, clearly at Fermilab, and serious at LHC :**
    - Modification of string fragmentation needed to account for data
    - Various phenomenological approaches :
      - ➡ Color reconnection
      - ➡ String junction
      - ➡ String percolation, ...
    - Number of parameters increased with the quality of data ...
- Statistical model only used for heavy ion (HI) in combination with hydrodynamical evolution of the dense system : QGP hadronization
  - ➔ Account for flow effects, strangeness enhancement, particle correlations...

# Core-Corona approach and CR

To test if a QGP like hadronization can account for the missing muon production in EAS simulations a core-corona approach can be artificially apply to any model

- Particle ratios from statistical model are known (tuned to PbPb) and fixed : **core**
- Initial particle ratios given by individual hadronic interaction models : **corona**
- Using CONEX, EAS can be simulated mixing corona hadronization with an arbitrary fraction  $\omega_{\text{core}}$  of core hadronization:  $N_i = \omega_{\text{core}} N_i^{\text{core}} + (1 - \omega_{\text{core}}) N_i^{\text{corona}}$

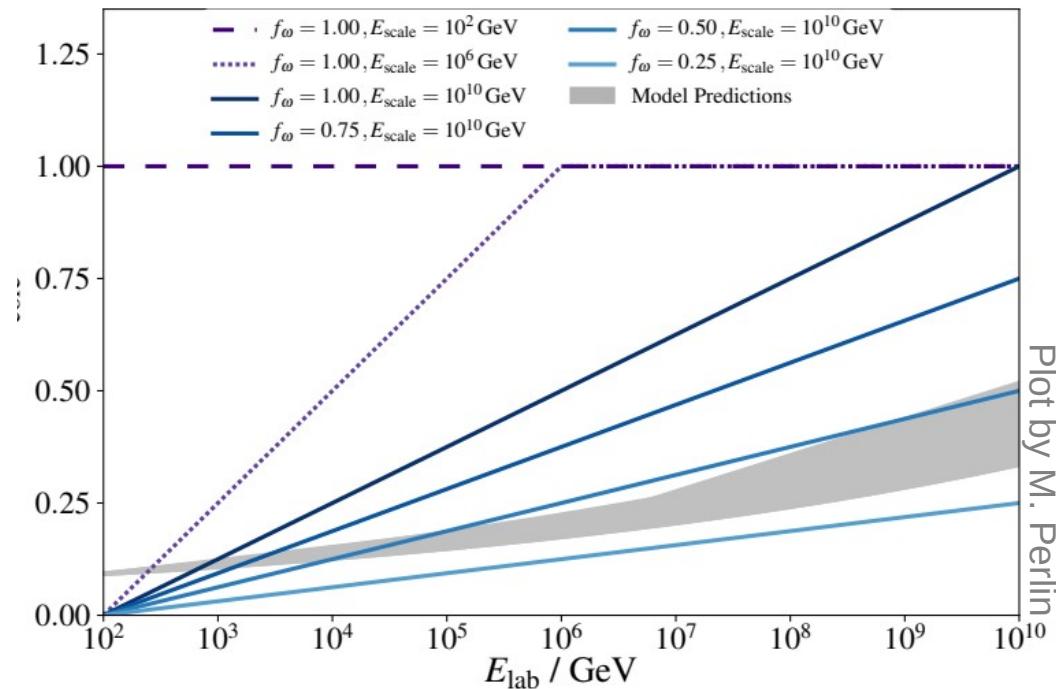
$$\omega_{\text{core}}(E_{\text{lab}}) = f_{\omega} \underbrace{F(E_{\text{lab}}; E_{\text{th}}, E_{\text{scale}})}_{\frac{\log_{10}(E_{\text{lab}}/E_{\text{th}})}{\log_{10}(E_{\text{scale}}/E_{\text{th}})} \text{ for } E_{\text{lab}} > E_{\text{th}}}$$

$$\frac{\log_{10}(E_{\text{lab}}/E_{\text{th}})}{\log_{10}(E_{\text{scale}}/E_{\text{th}})} \text{ for } E_{\text{lab}} > E_{\text{th}}$$

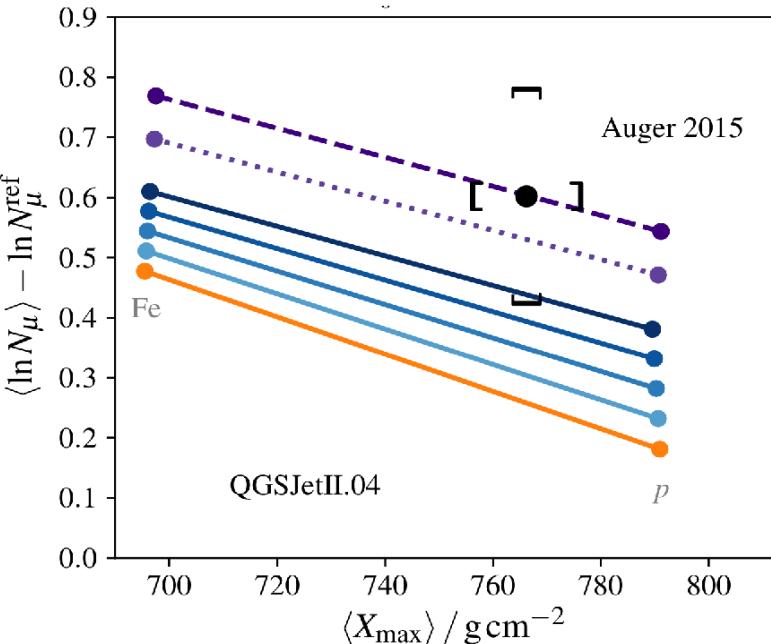
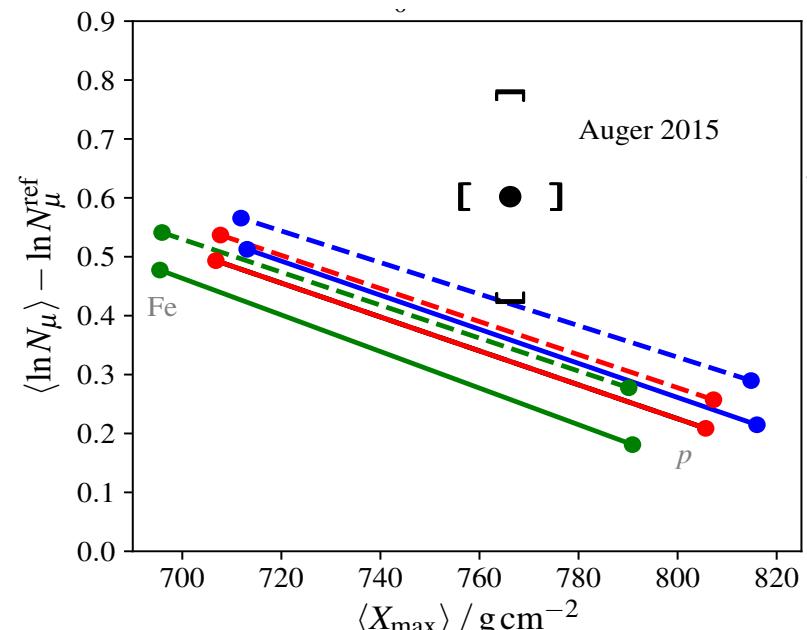
$$E_{\text{th}} = 100 \text{ GeV}$$

Different scenarii can be studied playing with  $f_{\omega}$  and  $E_{\text{scale}}$ .

Note : the leading particle is NOT modified (projectile remnant)

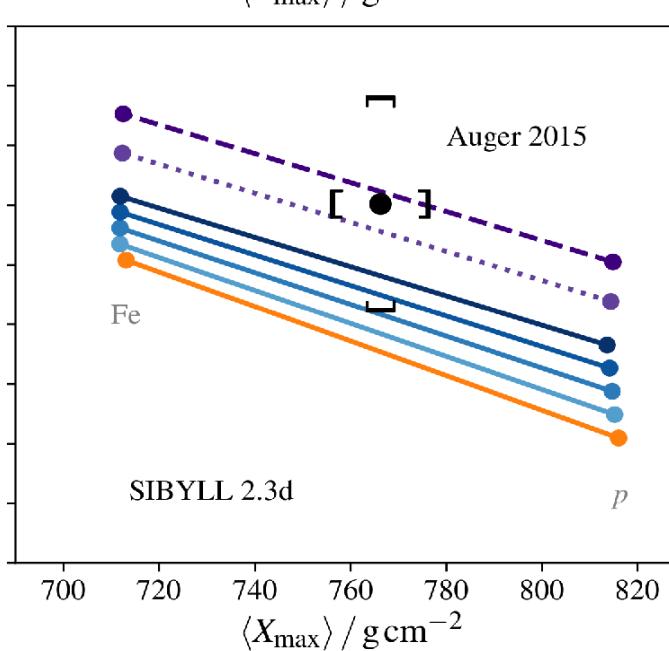
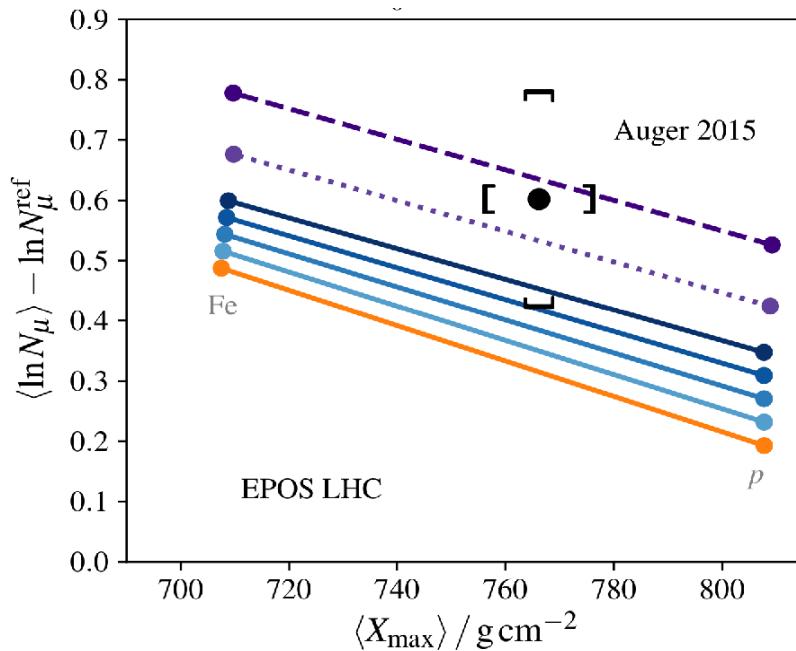


# Results for $X_{\max}$ - $N_{\mu}$ correlation



$f_{\omega} = 1.00, E_{\text{scale}} = 10^2 \text{ GeV}$   
 $f_{\omega} = 1.00, E_{\text{scale}} = 10^6 \text{ GeV}$   
 $f_{\omega} = 1.00, E_{\text{scale}} = 10^{10} \text{ GeV}$   
 $f_{\omega} = 0.75, E_{\text{scale}} = 10^{10} \text{ GeV}$   
 $f_{\omega} = 0.50, E_{\text{scale}} = 10^{10} \text{ GeV}$   
 $f_{\omega} = 0.25, E_{\text{scale}} = 10^{10} \text{ GeV}$   
 $f_{\omega} = 0$  (Default model)

— Default Model  
 - - Core-Corona  
 — EPOS-LHC  
 — QGSJETII-04  
 — SIBYLL2.3d



Plot by M. Perlin

**Forward core fraction unknown and not necessarily lower than at mid-rapidity (saturation effect)**

# Constraints from Correlated Change

- One needs to change energy dependence of muon production by  $\sim +4\%$

- To reduce muon discrepancy  
 $\beta$  has to be changed

→  $X_{\max}$  alone (composition) will not change the energy evolution

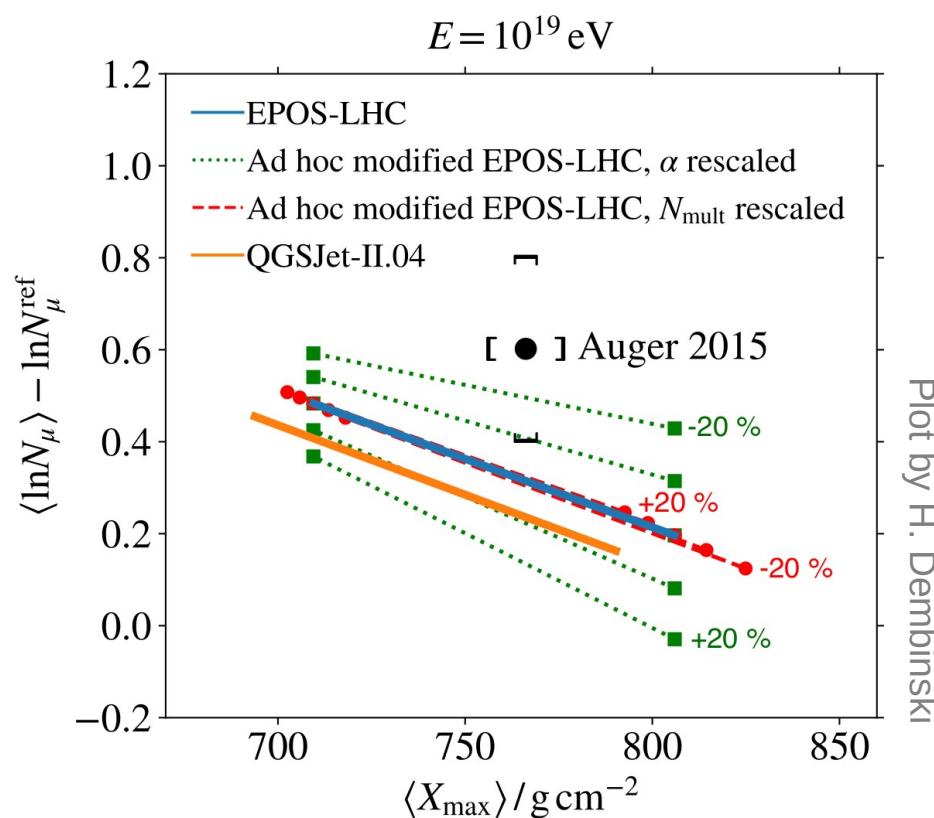
→  $\beta$  changes the muon energy evolution but not  $X_{\max}$

$$\beta = \frac{\ln(N_{mult} - N_{\pi^0})}{\ln(N_{mult})} = 1 + \frac{\ln(1 - \alpha)}{\ln(N_{mult})}$$

→ +4% for  $\beta$  → -30% for  $\alpha = \frac{N_{\pi^0}}{N_{mult}}$

$$N_\mu = A^{1-\beta} \left(\frac{E}{E_0}\right)^\beta$$

$$X_{\max} \sim \lambda_e \ln\left(E_0 / (2 \cdot N_{mult} \cdot A)\right) + \lambda_{ine}$$

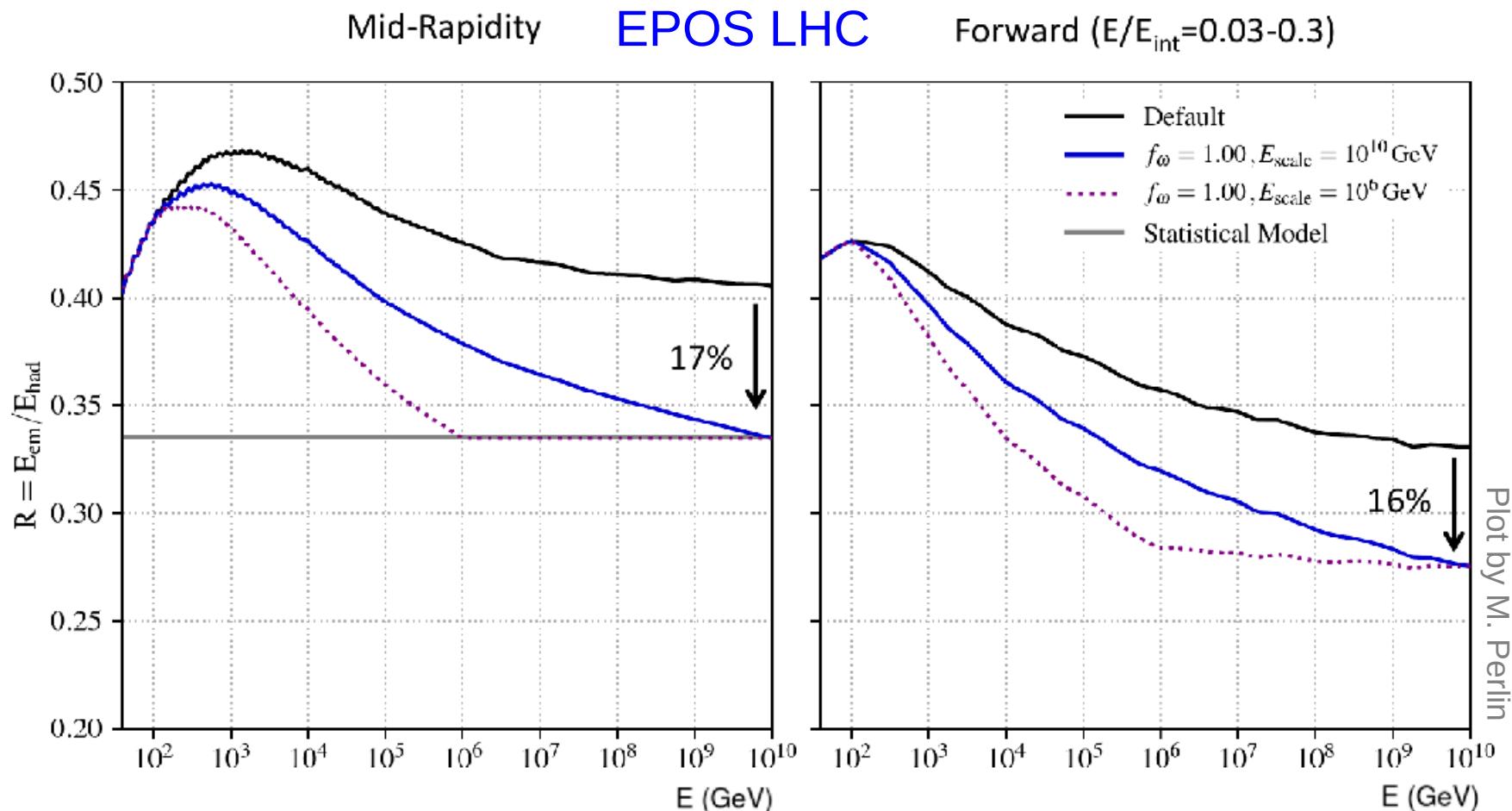


# Evolution of hadronization from core to corona

The relative fraction of  $\pi^0$  depends on the hadronization scheme

$$\rightarrow \text{Change of } \omega_{\text{core}} \text{ with energy change } \alpha = \frac{N_{\pi^0}}{N_{\text{mult}}} \text{ or } R(\eta) = \frac{\langle dE_{\text{em}}/d\eta \rangle}{\langle dE_{\text{had}}/d\eta \rangle}$$

which define the muon production in air showers.

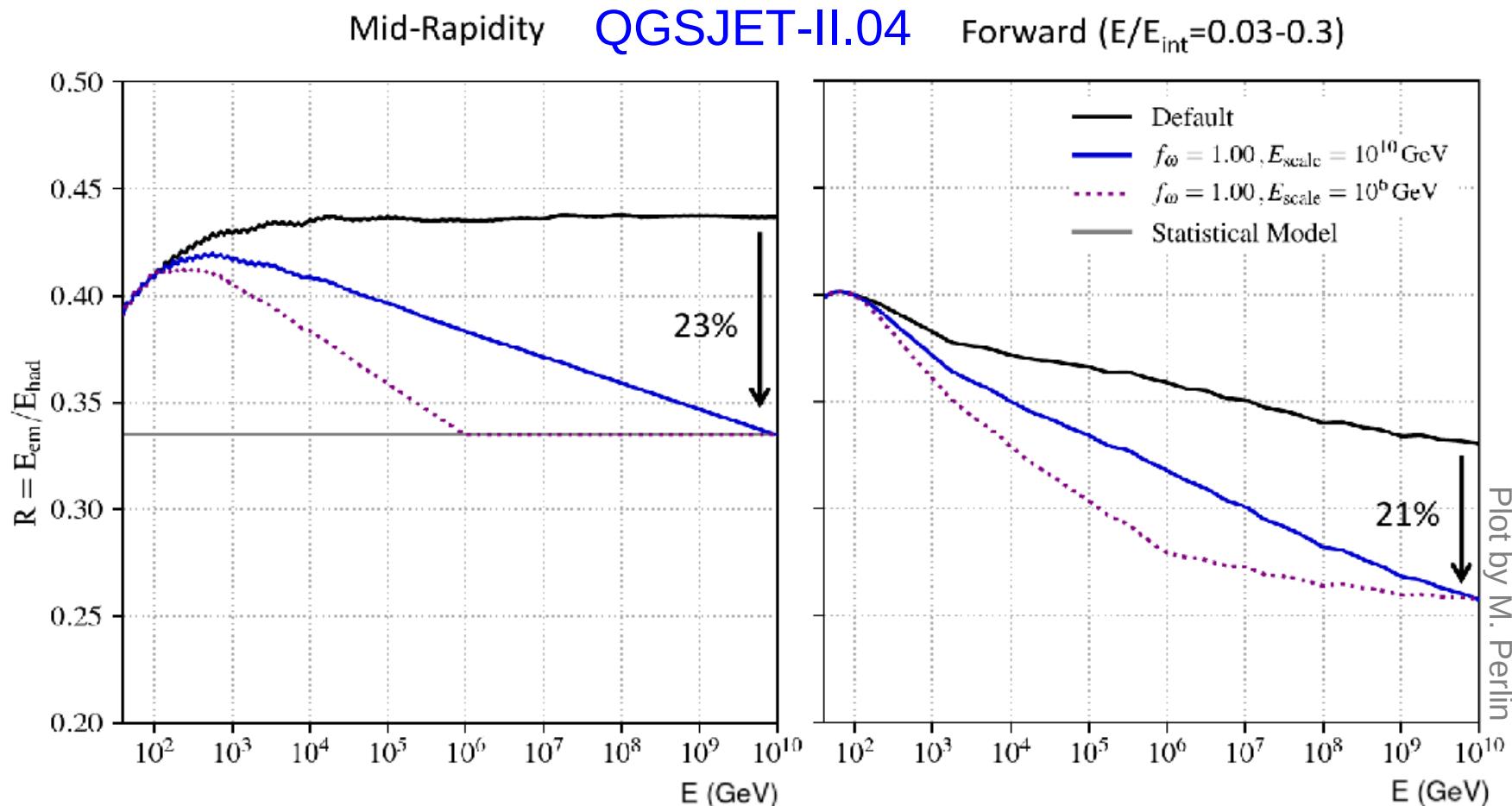


# Evolution of hadronization from core to corona

The relative fraction of  $\pi^0$  depends on the hadronization scheme

→ Change of  $\omega_{\text{core}}$  with energy change  $\alpha = \frac{N_{\pi^0}}{N_{\text{mult}}}$  or  $R(\eta) = \frac{\langle dE_{\text{em}}/d\eta \rangle}{\langle dE_{\text{had}}/d\eta \rangle}$

which define the muon production in air showers.

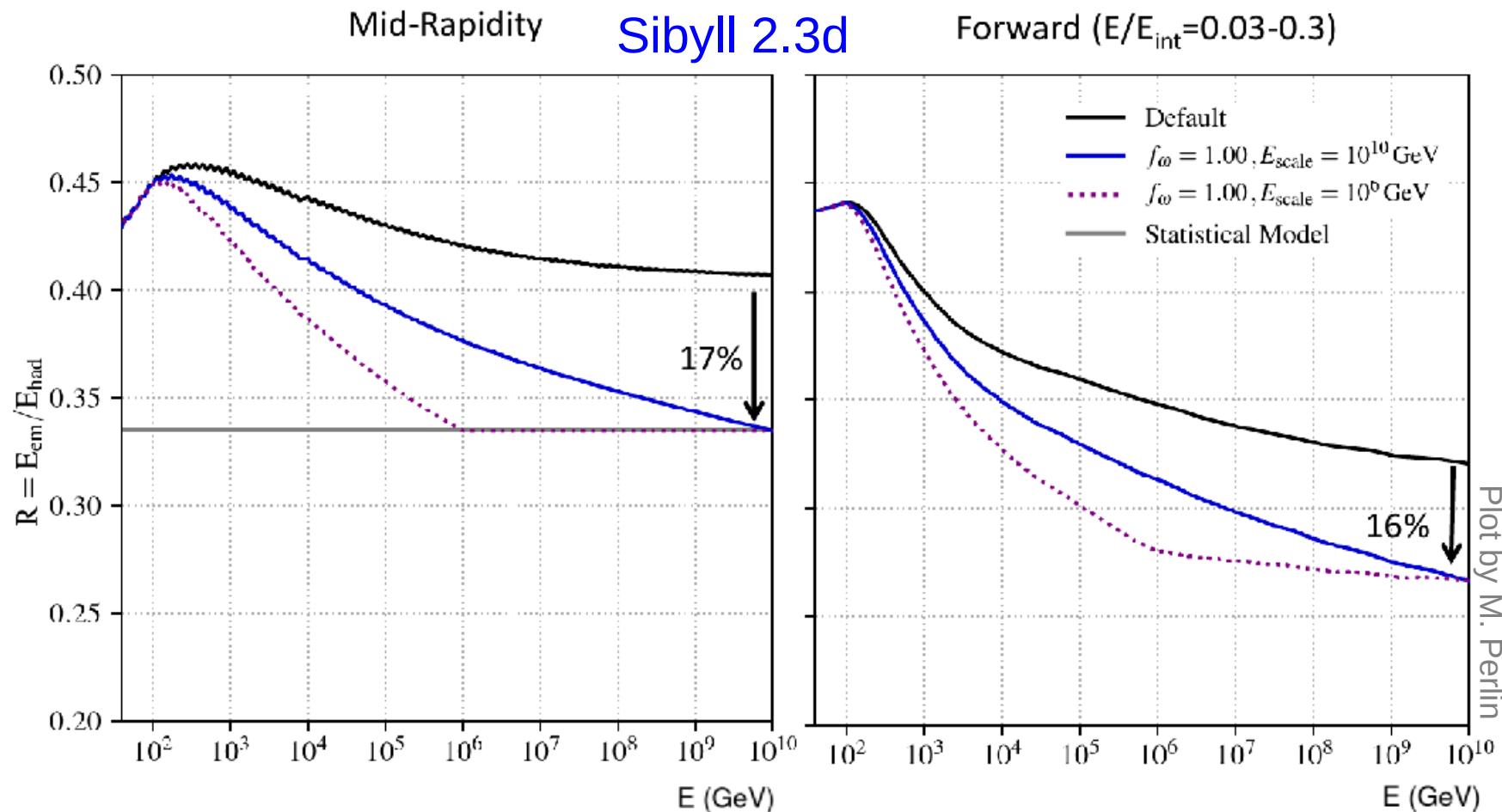


# Evolution of hadronization from core to corona

The relative fraction of  $\pi^0$  depends on the hadronization scheme

→ Change of  $\omega_{\text{core}}$  with energy change  $\alpha = \frac{N_{\pi^0}}{N_{\text{mult}}}$  or  $R(\eta) = \frac{\langle dE_{\text{em}}/d\eta \rangle}{\langle dE_{\text{had}}/d\eta \rangle}$

which define the muon production in air showers.



# Possible Particle Physics Explanations

A 30% change in particle charge ratio ( $\alpha = \frac{N_{\pi^0}}{N_{mult}}$ ) is huge !

→ Possibility to increase  $N_{mult}$  limited by  $X_{\max}$

→ New Physics ?

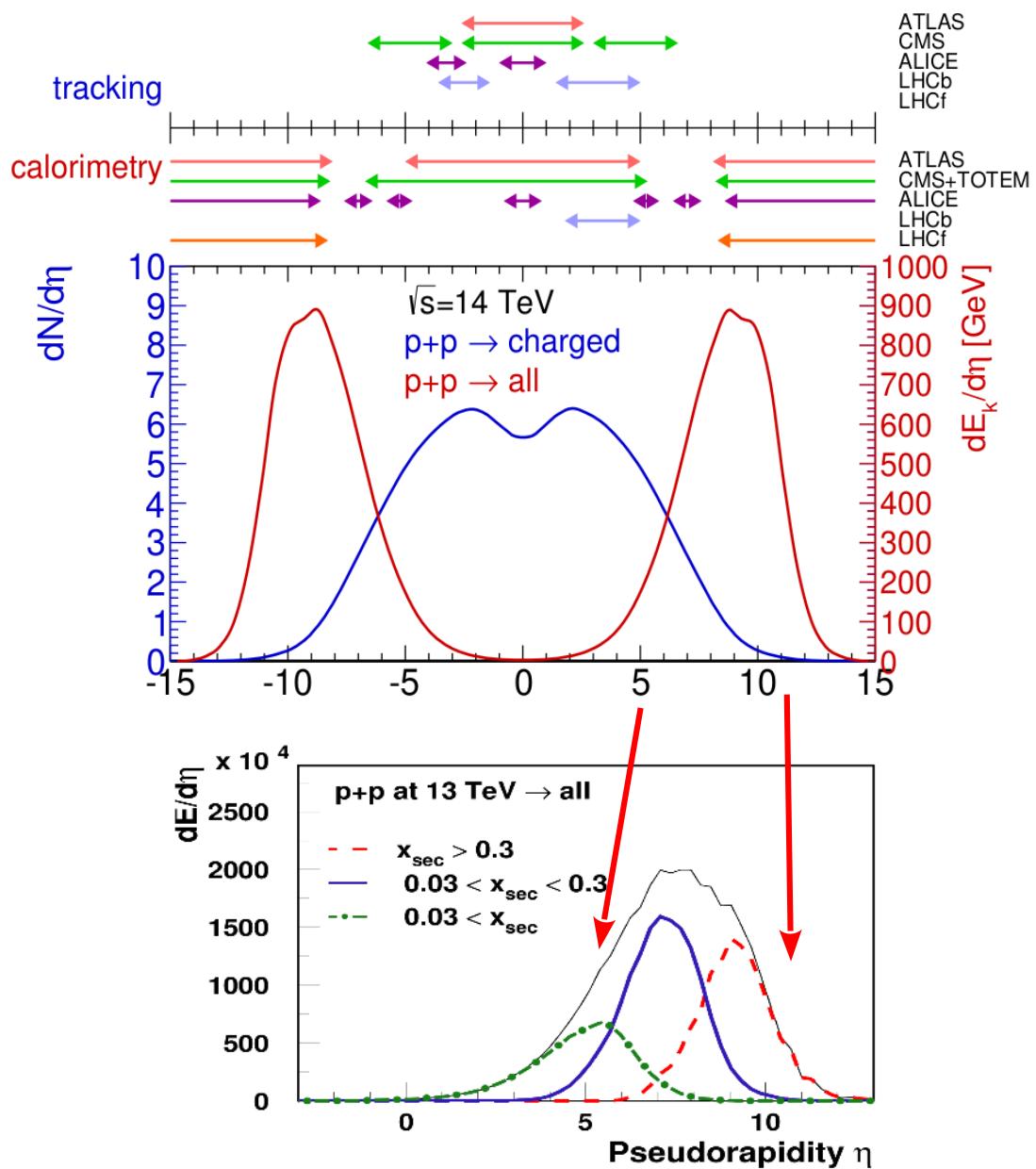
- Chiral symmetry restoration (Farrar et al.) ?
- Strange fireball (Anchordoqui et al., Julien Manshanden) ?
- String Fusion (Alvarez-Muniz et al.) ?

→ Problem : no strong effect observed at LHC ( $\sim 10^{17}$  eV)

→ Unexpected production of Quark Gluon Plasma (QGP) in light systems observed at the LHC (at least modified hadronization)

- Reduced  $\alpha$  is a sign of QGP formation (enhanced strangeness and baryon production reduces relative  $\pi^0$  fraction. Baur et al., arXiv:1902.09265) !
- $\alpha$  depends on the hadronization scheme
  - How is it done in hadronic interaction models ?

# LHC acceptance and Phase Space

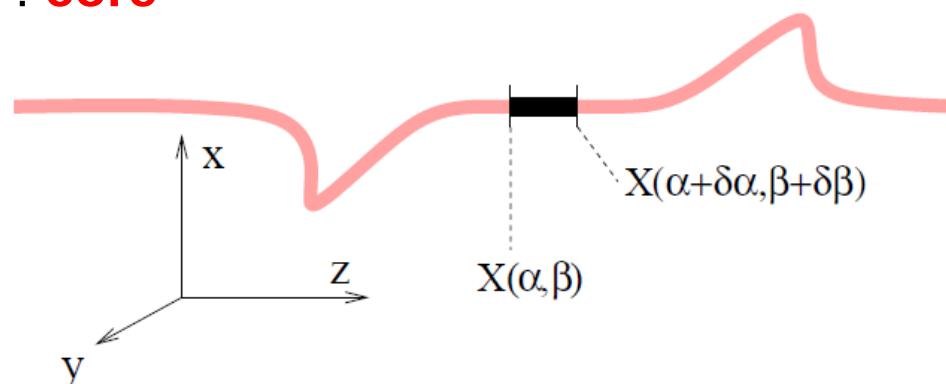


- p-p data mainly from “central” detectors
  - pseudorapidity  $\eta = -\ln(\tan(\theta/2))$
  - $\theta=0$  is midrapidity
  - $\theta>>1$  is forward
  - $\theta<<1$  is backward
  
- Different phase space for LHC and air showers
  - most of the particles produced at midrapidity
    - important for models
  - most of the energy carried by forward (backward) particles
    - important for air showers

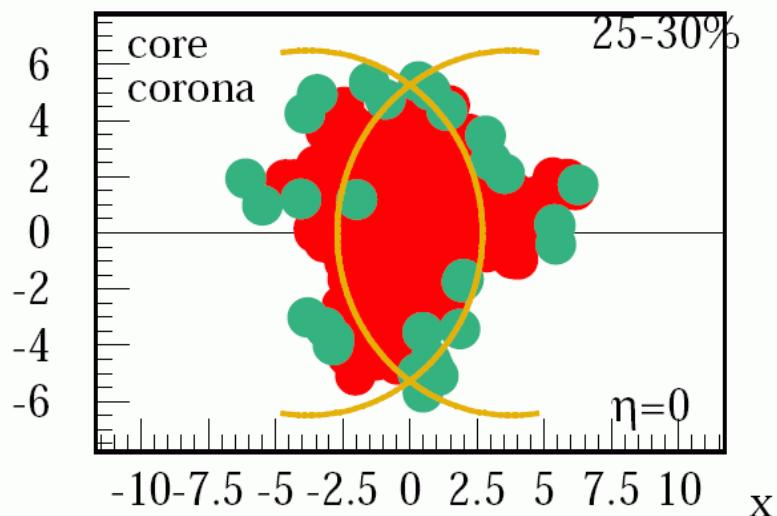
# A 3<sup>rd</sup> way : the core-corona approach

Consider the local density to hadronize with strings OR with QGP:

- First use string fragmentation but modify the usual procedure, since the density of strings will be so high that they cannot possibly decay independently : **core**



In EPOS (since 2005)



- Each string cut into a sequence of string segments, corresponding to widths  $\delta\alpha$  and  $\delta\beta$  in the string parameter space
- If energy density from segments high enough
  - ◆ segments fused into core
    - flow from hydro-evolution
    - statistical hadronization
- If low density (**corona**)
  - ◆ segments remain hadrons